



Baltimore
June 24-26, 2019
Baltimore Marriott Waterfront

**Pension & Financial
Services Conference**
Commemorating Our Past
and Powering Our Future



ABOUT NASP

NASP is the premier organization that helps people of color and women achieve inclusion in the financial services industry. We connect members to industry leaders and business opportunities; advocate for policies that create equal representation and inclusion; provide educational opportunities; and work to build awareness about the value of ensuring that people of color and women are included in all aspects of the financial services industry. Founded in 1985, NASP is headquartered in Washington, D.C. with chapters in major financial centers throughout the United States including: Atlanta, Baltimore/ Washington, Chicago, Detroit, New York, North Carolina, Philadelphia, San Francisco, Southern California, and Texas. Our members include asset and wealth managers, brokers, public finance professionals, investment bankers, bond counsel, commercial bankers, institutional investors, plan sponsors, and other professionals in the financial services industry.

NASP OBJECTIVES

- To pursue the highest standards of professionalism and excellence among NASP members.
- To foster the growth and development of minority and women professionals and of minority-controlled and women-controlled institutions in the financial services industry.
- To increase public awareness, especially among minorities and women, of career opportunities.
- To pursue progressive and balanced policies affecting public and private finance.

LOCAL CHAPTER AFFILIATION

NASP's broad base of support is strengthened by its network of chapters. If you are not part of a local chapter, become involved now!

- Meet and network with the regional business community, participate in chapter committees, and help shape chapter events.
- Obtain professional development opportunities such as public speaking, share your expertise, and engage in volunteer programs such as FAST Track.
- Stay abreast of local legislative and regulatory issues.

Your annual NASP membership includes local chapter affiliation with voting rights - there are no separate fees required be a part of a chapter. Check your status or add your affiliation by contacting NASP headquarters. Visit www.nasphq.org for details on Chapter Officers and check out the mobile event app to see Officers attending the conference!



Download the NASP event app: <https://bit.ly/2lI2lMh> | EVENT CODE: **NASP2019**



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NASP HQ



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Securities Professionals Headquarters

UPCOMING PROGRAMS

NASP New York: Summertime in the City Reception

June 20, 2019 at The United Federation of Teachers' Headquarters, New York, NY

NASP Detroit: 12th Annual Raymond Welborne Memorial Golf Classic Scholarship Fundraiser

Friday, July 26, 2019 at The Links at Gateway, Romulus, MI

NASP Annual Legislative Symposium, General Body Meeting, and Reception

September 11, 2019 in Washington, D.C.

2nd Annual "Building Bridges" Consultant and Manager Retreat

October 2-3, 2019, Chapel Hill, NC at the Rizzo Center

NASP New York: 21st Annual Trustee Education Symposium

November 6-7, 2019 in New York City

NASP Mentors Luncheon & Career Symposium for College Students

April 2020 in New York City

NASP 2020 Diverse and Emerging Manager Forum and Reception

January 16, 2020, Chicago, IL

NASP Southern California: Day of Education in Private Equity

March 2020, Los Angeles, CA

NASP 31st Annual Pension and Financial Services Conference

June 15-17, 2020, Chicago, IL

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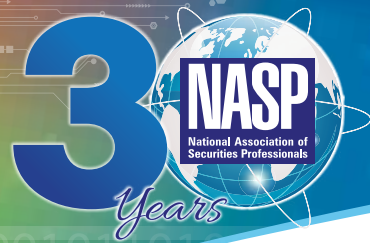
Brianna Hooks
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NASP HEADQUARTERS

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CONTENTS

- 4 NASP Board Of Directors 2019
- 5 Welcome from the Chair
- 7 A Message from the Executive Director
- 9 Honorary Co-Chairs
- 10 Conference Planning Committee
- 13 Committee Members
- 15 Plan Sponsor Advisory Committee
- 17 Africa & Emerging Markets Summit (AEMS) Advisory Council
- 19 Recognition Letters
- 25 Schedule of Events
- 25 DAY 1 | Monday, June 24
- 33 DAY 2 | Tuesday, June 25
- 47 DAY 3 | Wednesday, June 26
- 61 2019 Award Recipients
- 70 Past Award Recipients
- 73 Featured Speaker Biographies
- 95 Corporate Partners | Conference Sponsors



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WELCOME
FROM THE CHAIR



On behalf of the Board of Directors of the National Association of Securities Professionals (NASP), I would like to welcome you to the NASP 30th Annual Pension and Financial Services Conference. We are celebrating 30 years of gathering together as a community of financial services professionals focused on ensuring that people of color and women are included in all aspects and at all levels of our industry.

This year's conference theme, **Commemorating Our Past and Powering Our Future**, serves as the perfect backdrop for meaningful dialogues surrounding the challenges and opportunities facing the economy and industry today. Our plan sponsor community track, the NASP Institute, facilitates learning among pension, foundation, and endowment fund managers and facilitators to discuss topics from "Decoding Investment and Finance Jargon" to "Benchmarking Non-Traditional Investments" and much more. This track will also allow you to earn valuable continuing education credits through the National Association of State Boards of Accountancy. Please see Tuesday's agenda for more information on earning CPE credits.

The Professional Development Series (PDS) is designed to teach effective strategies to improve communication and critical thinking skills as well as share insight on emerging career trends and business strategies. This year's PDS will specifically explore career opportunities in wealth management, the growth of AI, the influence of Big Data and the case for diversity on corporate boards.

In partnership with the United States Agency for International Development (USAID), the Africa and Emerging Markets Summit will explore ways in which NASP and its constituents can foster strong, mutually-beneficial partnerships with its African counterparts by leveraging talent, training, and expertise. Key decision-makers will share their outlook, expertise, and perspectives on investment trends and strategies through sessions such as the real versus perceived risks of investing in Africa, the latest news on Prosper Africa and the BUILD Act and women's leadership in finance.

Thank you to this year's Plan Sponsor Advisory Committee, corporate partners, and conference sponsors. Your support allows NASP to facilitate informational seminars, create networking opportunities for our members, and encourage young people to explore financial services as a career path, as well as hold an amazing and highly respected industry conference! We also congratulate this year's award winners; the industry has greatly benefitted from your hard work, ideas, perspectives and tenacity. We are honored to have you with us this year.

Network and learn from your colleagues, take in one of our sponsor exhibits, and above all, enjoy your time in Baltimore!

All the best,

Donna Sims Wilson
Donna Sims Wilson

* Denotes members of the Executive Committee

Finding opportunities that matter for our clients and our community



Macquarie Investment Management is proud to support the National Association of Securities Professionals (NASP) and its dedication to fostering inclusion in the financial services industry.

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Pension & Financial Services Conference
Commemorating Our Past and Powering Our Future

A MESSAGE FROM THE EXECUTIVE DIRECTOR



Welcome to Baltimore and the 30th Annual Pension and Financial Services Conference!

This year's Conference represents a major milestone for the organization as we celebrate 30 years of achievement in our efforts to balance the playing field as it relates to opportunity for people of color and women. We are proud to highlight the importance of diversity in the financial services sector and honor the achievements of our colleagues at this annual celebration. This year's conference theme of Commemorating Our Past and Powering Our Future encapsulates what you can expect to experience during our time in Baltimore.

The conference has served as a catalyst to meet the organization's mission of fostering the development, growth, and increasing access to business and career opportunities for people of color and women in the industry.

The depth and range of the program is a result of a true team effort from a host of planning committee members as well as input from corporate partners and conference sponsors to whom I am most grateful for their cooperation and superior execution in making this the best conference in the industry.

This year's conference is structured slightly different from those in the past with the newly redesigned Africa and Emerging Markets Symposium occupying the first day while the remaining program tracks start on day two. As NASP has continued to evolve, so has our lineup of impressive speakers, attendees and participants.

In addition to Professional Development Series sessions focused on interpersonal skills and industry intelligence, attendees will have the opportunity to receive one-on-one career coaching. We will also have sessions tailored to the concerns of early and mid-career professionals.

The NASP Institute will allow for candid exchanges among peers and facilitators to discuss current issues in investment decision-making and identify current market trends across all asset classes.

I would like to thank the pension, endowment, and foundation trustees and staff along with the financial representatives of the public and private sector for their enduring support.

The success of NASP is possible because of the commitment of our corporate partners and sponsors, the board of directors, and chapter officers. We thank you for your support and greatly appreciate the opportunity to serve as a strategic partner in advancing your business goals and expanding your professional network.

A special thanks to NASP Board President, Donna Sims Wilson, President of Smith Graham & Company for her thoughtful engagement and leadership.

We encourage you to take an active role in the conversations taking place throughout the next three days, both in person and through our event app downloadable to your mobile device. Our organization has worked diligently to ensure that every attendee has a beneficial experience, and we are confident that you will leave the conference feeling empowered with heightened awareness, new contacts, and strengthened relationships!

Finally, it is with mixed emotions and through the grace of God that I reflect on these past years of struggle and success in building NASP to a world renowned organization. I will be leaving the organization shortly after the Conference and please join me in welcoming the new executive director who will officially takeover upon my departure.

Again, I thank you all from the bottom of my heart and it has truly been a great run.

Sincerely,


Orim Graves, CFA



Download the NASP event app!



<https://bit.ly/2ll2lMh>
EVENT CODE: NASP2019



To access the schedule, speakers, exhibitors, other attendees and more at the tip of your fingers, please download the **SOCIO APP**.

App access will help you build and grow your network through LinkedIn.

Socio allows you to stay up to date with all of the conference details while facilitating your networking experience!

View conference schedules, speaker bios, get notifications and MUCH more!

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OUR DIFFERENCES

empower us to discover new opportunities.

As an investment management firm with clients and associates around the world, diversity is integral to our success. We are proud to support NASP in its efforts to promote inclusion and expand opportunities for people of color and women in the financial services industry.

We congratulate NASP on its 30th Annual Pension and Financial Services Conference.

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The Plan Sponsor Advisory Committee (PSAC) is comprised of an impressive group of advisors that have graciously agreed to attend the conference, evaluate the program content to assist in future planning, and encourage other key influencers to attend.

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Runa Alam

Development Partners International, CEO

A close-up, profile shot of a woman with dark hair, looking upwards and to the left. She is wearing a dark blue jacket with a gold zipper pull. The background is blurred, showing other people in a crowd.

It is our great pleasure to support **The 30th NASP Annual Pension and Financial Services Conference** and the educational value it brings to people of color and women in the financial services industry.



June 24-26, 2019

Founded in 1985, NASP helps people of color and women achieve inclusion in the financial services industry. This week's conference is an opportunity for wealth management professionals to build leadership, communication and critical thinking skills as well as gain insight on emerging trends and business strategies.

As mayor, I am delighted that you have selected Baltimore for your event, and I invite you to discover the city's many exciting attractions. From Baltimore's numerous waterfront attractions, outstanding restaurants, and fabulous shopping to our charming neighborhoods, historical sites, and world-class art museums, you'll find that our city has something for everyone.

Thank you for choosing Baltimore to host your conference. Best wishes for a memorable event!

Sincerely,

Bernard C. J. Young

Bernard C. "Jack" Young
Mayor
City of Baltimore

phone: 410.396.3835 fax: 410.576.9425 e-mail: mayor@baltimorecity.gov

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Citi recognizes the work of the National Association of Securities Professionals - "NASP" and those who work together to build a better tomorrow.



MARYLAND STATE TREASURER
Nancy K. Kopp

Welcome to the 30th Annual Pension and Financial Services Conference, and welcome to Maryland. Our citizens are so fortunate that the National Association of Securities Professionals (NASP) chose Baltimore for the site of this year's conference, and I very much appreciate the invitation to participate personally.

NASP has worked to promote equality and the development of women and minorities in the securities industry for over thirty years, and as a women in finance, I would like to thank them for the important work they do. Congratulations to NASP on another successful year of educating, advocating, and facilitating communication across the securities industry.

Again, thank you for joining us here in Maryland, and thank you for having me. I look forward to joining NASP and conference participants in this valuable exchange of information.

Most sincerely,

Nancy K. Kopp
State Treasurer

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Founded in 1992, Cerberus is a global leader in alternative investing with approximately \$39 billion* in assets across complementary credit, operational private equity, and real estate strategies.

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For more information about our people and platforms, visit us at www.cerberus.com.

COMPTROLLER

JOAN M. PRATT, CPA
Room 204, City Hall
Baltimore, Maryland 21202-3461



OFFICE OF THE COMPTROLLER

Department of Audits
Department of Real Estate
Municipal Post Office
Municipal Telephone Exchange
Harbor Master

June 24, 2019

National Association of Securities Professionals
901 K St. NW
Suite 310
Washington, DC 20001



Dear Friends and Colleagues of NASP:

Greetings in honor of our 30th Anniversary Pension and Financial Services Conference. For NASP members and associates who are visiting our city, *Welcome to Baltimore!*

With our members' ongoing advocacy on behalf of women and people of color, the NASP network works to build a more fair and balanced financial market, yielding an advantage to those who are similarly disadvantaged. Each year our conference seeks to continue the empowerment of trustees, plan sponsors, fund administrators, and securities professionals who are top decision-makers from around the globe.

Everything that people do with money has lasting consequences. Informative conferences and seminars are an effective tool for enhancing knowledge throughout the industry. As well, plan sponsors, trustees and others in the financial services community must be open to exploring and encouraging prospects that are in everyone's best interest. NASP workshop sessions have always served to enhance participants' knowledge in wealth building, managing risk, and the investment process. Our continuing seminars and series of meetings emphasizes the need for finance professionals to continue edifying the value of money management.

On behalf of the citizens of Baltimore, I thank you for choosing our city for this foremost event. Colleagues, you have my warmest regards for an enlightening and powerful *Commemorating Our Past and Powering Our Future* conference.

Sincerely,

Joan M Pratt, CPA

Joan M. Pratt, CPA
Comptroller

WE CELEBRATE THE POWER OF
**DIVERSITY IN
THOUGHT &
EXPERTISE**

At GCM Grosvenor, the diversity of our employees is a driving factor of our success and is critical to building and maintaining strong business relationships.

GCM Grosvenor is a global alternative asset management firm with over \$50 billion in assets under management in hedge fund strategies, private equity, infrastructure, real estate and multi-asset class solutions.

Alternative Investing Since 1971

Chicago | New York | Los Angeles | London | Tokyo | Hong Kong | Seoul
gcmgrosvenor.com

Continental Breakfast/Networking | 8:00am – 8:30am
HARBORSIDE FOYER

Registration/Check In | 8:00am – 9:00am
REGISTRATION A/B DESK

AFRICA & EMERGING MARKETS SUMMIT (AEMS)

AEMS convenes business CEOs, senior executives, and political leaders from Africa's major corporations, pension funds, money center banks, and various other pools of capital to engage in a transformative exploration of opportunities. The Summit is designed specifically for senior professionals – partners, managing directors and C suite executives – in asset management, investment banking and corporate finance who have an interest in understanding, exploring and capitalizing on the opportunities that exist with our African counterparts.

9:00am - 9:10am
HARBORSIDE D

OPENING REMARKS

Mona S. Williams, President - Progress Investments, NASP Board Member
Queen Chinyere Quinn, Co-Founder & Partner, Kupanda Capital

9:10am – 10:00am
HARBORSIDE D

Keynote Remarks

INTRODUCTORY REMARKS:

Donna Sims Wilson, President, Smith Graham & Co., NASP Board Chair

KEYNOTE SPEAKER:

Bonnie Glick, Deputy Administrator of the US Agency for International Development

Q&A WITH BONNIE GLICK

MODERATOR:

Donna Sims Wilson, President, Smith Graham & Co., NASP Board Chair

ADDITIONAL REMARKS:

Aymeric Saha, Managing Director, NASP-USAID Initiative, MiDA
(Mobilizing Institutional Investors to Develop Africa's Infrastructure)



Invesco is proud to support the National Association of Securities Professionals

Invesco salutes the National Association of Securities Professionals on its 30th anniversary conference. We stand with you in the pursuit of equal opportunity for minorities and women in the securities and investments industry. We embrace the increasing diversity of our clients, employees and shareholders around the world and believe diversity and inclusion is paramount to helping the global business community achieve more.

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Baltimore | June 24-26, 2019



Pension & Financial Services Conference
Commemorating Our Past and Powering Our Future

9:10am - 10:00am
(CONT.)

On December 13, 2018, the Trump administration launched the Prosper Africa initiative, which seeks to open markets for American businesses, grow Africa's middle class, promote youth employment opportunities, improve the business climate, and enable the United States to compete with China and other nations who have business interests in Africa. The key initiative will prioritize the delivery of United States foreign assistance that supports economic growth and advances democratic, citizen-responsive governance and the rule of law. In so doing it will encourage African leaders to choose high-quality, transparent, inclusive, and sustainable foreign investment projects, including those from the United States which leverage our expanded and modernized development tools. Under Prosper Africa, America's vision for the region is one of independence, self-reliance, and growth—not dependency, domination, and debt.

Moderated questions would be constructed to center around the MiDA partnership between NASP and USAID. MiDA seeks to facilitate and expand opportunities for infrastructure investments in Sub-Saharan Africa for investors seeking higher returns, while making an impact on development and advancing U.S. interests in the region. To date, MiDA members have invested up to \$800 million across Africa and other emerging markets. The initiative exemplifies the very real and mutually beneficial U.S. Africa commercial and development opportunities that exist.

10:10am - 11:00am
HARBORSIDE D

Bridging the Gap Between US and Local Asset Allocators: The Case for Co-investing Alongside Smart, African Capital

This session will discuss areas in which US and African based capital pools can collaborate to discuss commonalities as well as differences in their approach. Success stories will be highlighted across the relevant sectors and markets.

INTRODUCTORY REMARKS/MODERATOR:

Christian Dunbar, Deputy City Treasurer, City of Philadelphia

PANELIST:

Ndabe Mkhize, CIO, Eskom Provident and Pension Fund

President Okey Oramah, President and Chairman of the Board of Directors, African Export-Import Bank

Richard Ingram, Executive Director, Teachers Retirement System of Illinois

Alain Ebobisse, CEO, Africa50

Runa Alam, CEO and Co-Founding Partner, DPI

DAY 1

MON
JUNE 24

SCHEDULE OF EVENTS

30



DAY 1

MON
JUNE 24

SCHEDULE OF EVENTS

PROGRAM BREAK | 11:00am - 11:10am

11:10am - 11:40am
HARBORSIDE D

Real Risk vs. Perceived Risk: What's the Reality of Investing in Africa

According to estimates based on United Nations projections on Sustainable Development Goals (SDG) there is a \$132 billion per annum of infrastructure gap for Africa. To close such a deficit, the continent would need to attract capital beyond traditional allocators like international development institutions. Institutional investors like pension funds and their agents in the money management industry would have to be involved. To be fair, some are already engaged and have registered in general a positive experience. But too often, there is still a wide gap between risk perception and historical record, according to a recent report issued by Mercer on behalf of MIDA-USAID. Such a discrepancy, if persistent, leads inevitably to mispricing of risk and under allocation of capital. Assuming free market logic stands, however, this state of affairs should be corrected over time by actions of rational investors.

INTRODUCTORY REMARKS/MODERATOR:

Henri Fouda, Vice President and Portfolio Manager, Wellington Management

PANELISTS:

Ziad Oueslati, Managing Director, Co-Founder, AfricInvest

Henry Obi, COO, Helios Investment Partners

Danladi Verheijen, Co-Founder & Managing Director, Verod Capital Management

V Shankar, Partner/CEO, Gateway Fund

Papa Madiaw Ndiaye, CEO & Founding Partner, AFIG Funds

11:50am - 12:45pm
HARBORSIDE D

Infrastructure Investing: Lessons Learned in Africa and Opportunities for Asset Allocators & Fund Managers

Investors globally continue to express strong interest in infrastructure due to urgent needs for new infrastructure and the maintenance of existing assets. Given the low interest rate environment and the search for yield, infrastructure has been and should continue to be a viable solution. This session will discuss infrastructure opportunities and the investments made by both fund managers and asset allocators in Africa and other emerging markets. What should be considered when seeking infrastructure opportunities in emerging markets versus the developed world? What lessons have you (asset allocators and fund managers) learned about investing in these regions? How do you assess the risks/rewards?

INTRODUCTORY REMARKS:

Nicole Melwood, Director, Business Development, Everstrong

MODERATOR:

Philip Dyk, Managing Partner, Everstrong Capital

PANELISTS:

Joseph Boateng, CFA, CPA/PFS, Chief Investment Officer, Casey Family Programs

Terrance Davis, CAIA, State Board Portfolio Manager, Strategic Investments at State Board of Administration of Florida

Hassatou N'Sele, Treasurer, African Development Bank

Ope Onibokun, Infrastructure Investment Specialist, Investec Asset Management

Sola Lawson, Head: West Africa, AIIIM

LUNCHEON PANEL | 1:00pm - 2:15pm

ESSEX A - C

U.S. and Africa Women Rising | Leading by Example, Disrupting the Status Quo and Positively Reshaping the Face of Investing

We are thrilled to expand a timely and imperative conversation, highlighting women from both Africa and the United States who are shaping the world of investing from their respective seats of influence. CIOs, CEOs, influential Board Chairs and Trustees, and leading women fund managers will share their paths to success, vision for the future, asset allocation plans and investment strategies, and partners needed to execute their visions. In this session we focus on women helping to drive measurable, meaningful and impactful change through and with their investments.

INTRODUCTORY REMARKS/MODERATOR:

Mona S. Williams, President - Progress Investments, NASP Board Member

PANELISTS:

Mary Pugh, CEO, Pugh Capital

Mantuka Maisela, Board Chair and IC Member, EPPF

Fatima Vawda, CEO, 27Four

Angela Miller-May, Chief Investment Officer, Chicago Teachers' Pension Fund

Linda Mateza, Head of Investments, Government Employee Pension Fund (GEPPF)

CLOSING REMARKS:

Donna Sims Wilson, President, Smith Graham & Co., NASP Board Chair

DAY 1

MON
JUNE 24

SCHEDULE OF EVENTS



DAY 1

MON
JUNE 24

2:30pm - 3:15pm
HARBORSIDE D

Exploring Innovative Capital Markets Solutions and
Structured Strategies for Africa and Emerging Markets

As investors continue to seek excess returns in a constrained return environment, structured investment products, and funding vehicles have gained traction and have proven to be a viable option for investment returns and capital objectives.

Structured transactions are increasingly being used to minimize risk, collateralize transactions, increase investment yields, and enhance asset values. For example, experts in the commercial lending sector believe that the use of hard collateral in funding transactions will become insignificant by 2030, with funders opting instead for soft collateral they can liquidate quickly, and in a cost-effective way. To satisfy this growing appetite from lenders and investors, use of structured inputs such as insurance, legal structures, foundations, financial instruments, and trusts in lending transactions is on the rise globally, and especially in Africa.

Previously hard-to-fund projects have become relatively easier to de-risk and capitalize in short periods of time. Investors are becoming excited by the ability to mitigate risk in alternative investments. Structured investment products are playing a greater role in investment environments such as continental Africa. Return on assets can be outsized and provide an option where a liquid or publicly traded option is not available for interested investors. As sovereign guarantees and government backing have become less of an option, structured alternatives such as insurance backed options involving A-rated reinsurers, can fill the gap in most instances.

INTRODUCTORY REMARKS:

Wanda Felton, Independent Director, The Greenbrier Companies

MODERATOR:

Marlon Brown, President and CEO, Clarendon Global Partners

PANELISTS:

Adama Kah, Founder, Kah Capital

Gabriel Shumba, Managing Partner, Group Shumba

Constantine Kandie, Founder Trustee, Kenya Pension Funds Investment Consortium

Andrew Canter, CIO, Future Growth

Marlena Hurley, Managing Director, Political Risk and Reinsurance at OPIC

3:25pm - 4:55pm
KENT A, B & C

Opportunity Showcase & Speed Networking

1:1 speed networking between GPs and institutional investor advisory members. A panel of institutional investors review a panel of GP presentations in an off-the-record discussion on the factors that influence investment decisions.

5:30pm - 7:30pm

NASP 30th Anniversary Welcome Reception

THE RAVEN

INTRODUCTORY REMARKS:

Guy T. Logan, Managing Director and Head of Metropolitan Issuers Finance Group, Citigroup

SPEAKER:

Justin Hayes, Esq., Office of the Comptroller, State of Maryland

9:30pm - 11:30pm

Late Night Dessert Reception

THE RAVEN

DAY 1

MON
JUNE 24



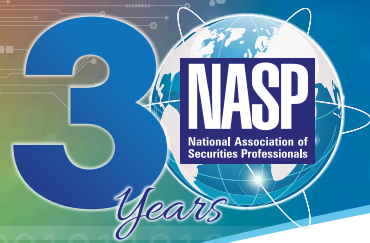
THE POWER OF PERSPECTIVE

Landmark Partners specializes in secondary market transactions of private equity, real estate and infrastructure investments, with approximately US\$27 billion of committed capital. Founded in 1989, the firm has one of the longest track records in the industry and is a leading source of liquidity to owners of interests in real estate, real asset, venture, mezzanine and buyout limited partnerships.

Landmark Partners has more than 125 professionals across five offices in Boston, Dallas, London, New York and Simsbury, Connecticut.

7:00am - 8:00am HARBORSIDE FOYER	Continental Breakfast/Networking
7:00am - 5:00pm REGISTRATION A/B DESK	Registration
7:00am - 5:00pm HARBORSIDE FOYER	NASP Partner Expo
8:00am - 8:30am HARBORSIDE A - C	NASP Morning Plenary OPENING REMARKS: <i>The Honorable Joan M. Pratt, CPA, Comptroller, City of Baltimore</i> GREETINGS AND STATE ECONOMIC UPDATE: <i>The Honorable Nancy K. Kopp, Treasurer, State of Maryland</i>
HARBORSIDE A - C	Economic and Political Overview: What's Next? The federal debt is projected to be on a steadily rising trajectory throughout the coming decade and the rise of interest rates, tariffs and trade negotiations are all top of mind. What's in store for investors with the changing dynamics in the industry as well as the general macroeconomic environment? SPEAKER: <i>Erik Knutzen, CFA, CAIA, Managing Director, Co-Head Quantitative and Multi-Asset Class Investment Team, Neuberger Berman</i>

*Please take note of new program format



DAY 2
MON
JUNE 25

THE NASP INSTITUTE (TNI) Trustees and Plan Sponsor staff only
TNI is a robust educational forum **exclusively** for members of the pension plan, foundation, and endowment community. The specific courses are designed to serve a wide range of skill levels and are in an instructional style format.
In partnership with PFM Asset Management LLC, NASP is pleased to offer **six (6) Finance CPE Credits** for participation in The NASP Institute.

LEARNING OBJECTIVES:

- List two key terms associated with finance jargon used by investment consultants, asset managers, and the media in traditional and alternative investments
- Identify ways to mitigate portfolio risk and volatility and determine how each asset class works together to maintain a balanced portfolio
- Incorporate the use of Environmental, Social, and Governance (ESG) factors into the investment and decision-making process, as well as recognize the benefits and challenges to ESG investing
- Recognize the current state of minority owned investment firms, and the economic and other trends impacting their growth and success

Advanced preparation is not necessary. Attendees must currently serve as Trustees or Staff to an Institutional Fund or Family Office. Program levels are Intermediate and Advanced and are presented in a group-live format.

PFM Asset Management is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit.



Complaints regarding registered sponsors may be addressed to the: National Registry of CPE Sponsors, 150 Fourth Avenue North, Suite 700, Nashville, TN, 37219-2417, www.nasba.org.

PROFESSIONAL DEVELOPMENT SERIES (PDS)

PDS features intensive hands-on learning experiences for early-mid-career and wealth management professionals. The sessions are designed to teach effective strategies to build leadership, communication and critical thinking skills as well as gain insight on emerging trends and business strategies.

8:45am - 8:55am
(CONCURRENT SESSIONS)

LAUREL A & B

THE NASP INSTITUTE

***TRUSTEES/PLAN SPONSORS ONLY**

OPENING REMARKS:

Marques Highland, Director - Relationship Management, Diamond Hill Capital Management

LAUREL C & D

OPENING REMARKS:

Kila Weaver, Vice President, Marketing & Client Services, FIS Group

HARBORSIDE D

PROFESSIONAL DEVELOPMENT SERIES

OPENING REMARKS:

Dale I. Favors, Founder & Managing Partner, Adaptive Growth Leadership (AGL)

9:00am - 10:00am
LAUREL A & B

Decoding Investment & Finance Jargon

***TRUSTEES/PLAN SPONSORS ONLY**

Join us as we help you begin to master investment and finance jargon used by investment consultants, asset managers and the media. Our session will focus on understanding commonly used phrases in traditional and alternative investments. Come prepared with questions regarding concepts or phrases as well. A related group activity will follow the presentation.

INSTRUCTORS:

John Doyle, Senior Vice-President, Defined Contribution Strategist, Capital Group

Jasmine Richards, Senior Investment Director, Manager Diversity, Cambridge Associates

LAUREL C & D

Understanding Capital Markets Assumptions

***TRUSTEES/PLAN SPONSORS ONLY**

During this discussion we'll first establish a foundation by explaining how capital market expectations are calculated, what are the associated risks and how to measure the efficiency of portfolios. We will then explore ways you can utilize these assumptions to make better informed investment decisions on behalf of your plan. Lastly, we will share the outlook for a range of asset classes that will assist you in assessing the path of the markets going forward.

INSTRUCTORS:

Cara Lafond, Managing Director, Multi-Asset Strategist, Wellington Management

Kristin Finney-Cooke, Senior Consultant, NEPC
Margaret Jadallah, Senior Consultant, Verus

DAY 2
TUES
JUNE 25

SCHEDULE OF EVENTS

SCHEDULE OF EVENTS

LEWIS
&
MUNDAY

A PROFESSIONAL CORPORATION

Welcome to the members and participants of the
**30TH ANNUAL PENSION AND FINANCIAL SERVICES
CONFERENCE OF THE NATIONAL ASSOCIATION
OF SECURITIES PROFESSIONALS.**

NASP has been making a way and finding a way for
minorities and women in the financial services industry
since its first pension fund conference in 1989.

*Lewis & Munday, P.C. is proud to be a
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CHANGE WILL NOT COME IF WE WAIT FOR SOME OTHER PERSON OR SOME OTHER TIME.
WE ARE THE ONES WE'VE BEEN WAITING FOR. WE ARE THE CHANGE THAT WE SEEK.

~BARACK OBAMA

I WILL FIND A WAY OR MAKE ONE

~HANNIBAL

Baltimore | June 24-26, 2019



Pension & Financial Services Conference
Commemorating Our Past and Powering Our Future

9:00am - 10:00am
(CONT.)
HARBORSIDE D

The Growth of Artificial Intelligence and Influence of Big Data

Digital disruption continues to apply pressure on businesses in many ways. Technologies like AI, IoT, Big Data are driving innovation and presenting unique platforms for customer engagement. How are firms identifying opportunities and creating value in this uncertain environment? How is digital technology changing the way business decisions are being made?

Hear from professionals as they provide an introspective view on how digital tools are driving new expectations and changing the competitive landscape.

MODERATOR:

David Mitchell, Derivatives Specialist, Bloomberg

PANELISTS:

Jason Lamin, CEO, Lenox Park

Robin Nunn, Partner, Dechert LLP.

Jordan McKinnie, Equity Data Insights Analyst, T. Rowe Price

Gary Guibert, Senior Vice-President, Northern Trust

10:10am - 11:10am
LAUREL A & B

Understanding Risk and Volatility

***TRUSTEES/PLAN SPONSORS ONLY**

In an ever-evolving financial world, managing portfolio risk and market volatility are paramount to maintaining a structured portfolio to weather market peaks and valleys. Understanding where market risk and volatility lie are vital to staying ahead of the curve and making sure the assets within the portfolio can handle these market fluctuations. In this session, we will discuss how to mitigate portfolio risk and volatility, and how each asset class works together to maintain a balanced portfolio.

INSTRUCTORS:

Lowell Yura, CFA, ASA - Head of Multi-Asset Solutions, North America, T. Rowe Price

Carl Mastroianni, Senior Product Specialist, Active Management, Insight Investment (BNY)

LAUREL C & D

Advanced Course: Benchmarking Non-Traditional Investments

***TRUSTEES/PLAN SPONSORS ONLY**

As plan sponsors search for higher returns, allocations to asset classes beyond stocks and bonds continue to increase in the portfolios of public pension plans. In this session we will discuss asset classes such as private

DAY 2

TUES
JUNE 25

SCHEDULE OF EVENTS

30



Diversity Creates Change

At Morgan Stanley, a diverse, dynamic and inclusive culture underlies the success of our company. It widens our perspective, helps our employees achieve their professional objectives and allows us to better serve our clients. Our commitment to diversity and inclusion also garner accolades that consistently recognize Morgan Stanley amongst the top places to work for minorities, women, the LGBT community and diversity overall.

To find out more about career opportunities and to apply, visit ms.com/wealth/careers.

10:10am - 11:10am
(CONT.)

equity, hedge funds, liquid alternatives and many more while providing frameworks for measuring them in the context of the risks and returns of traditional investments.

INSTRUCTORS:

Ben Blakney, Managing Director & Head of Strategic Solutions and Marketing, Mesirow Financial
Rendel Solomon, Managing Director, Muller & Monroe Asset Management

HARBORSIDE D

Pathways to Successful Growth of Assets Under Management (\$AUM): Channel Diversification via Wealth Management Platforms

Many emerging asset managers have historically grown AUM through the traditional channels of Manager of Manager organizations and public fund specific platforms. The impressive investment performances by many of these emerging and diverse managers have begun to attract interest from non- traditional allocators such as wealth management platforms. These platforms are seeing the value in partnering with successful managers who skillfully navigate volatile markets to generate alpha. Join us as panelists representing these platforms share the roadmap, qualifications and techniques on building relationships within this growing channel.

MODERATOR:

Malik T. Murray, SVP, Institutional Marketing & Client Services, Ariel Investments

PANELISTS:

Meera Patel, CFA, Portfolio Manager and Director of Private Investments, Brown Advisory, Inc.

Hazlitt G. Gill, Senior Research Director, Global Manager Research, Wells Fargo Investment Institute

Tony Appiah, CFA, Director, Fixed Income Manager Research, UBS Financial Services, Inc. Global Wealth Management

HARBORSIDE E

Modern Wealth Management: Building a Career as a Financial Advisor

Building a practice that serves the needs of high net worth clients provides financial advisors both unique and rewarding opportunities as well as its own set of challenges. Financial advisors to high profile individuals, corporate executives and entrepreneurs advise on more than the traditional elements of financial planning, wealth management

DAY 2
TUES
JUNE 25

SCHEDULE OF EVENTS



DAY 2

MON
JUNE 25

10:10am – 11:10am
(CONT.)

and philanthropy. They devise strategies for capital preservation for individuals whose careers may have a limited time span as well as financial planning and guidance on personal brand management, endorsements, complex investment opportunities and issues related to business ownership. Learn what it takes to be successful in wealth management as well as what high net worth and often high profile individuals look for in a financial advisor. Hear from dynamic and highly successful financial advisors and managers. Learn if your next career opportunity is in wealth management.

MODERATOR:

Troy Mooyoung, Executive Director – Complex Manager, Southeast Michigan, Morgan Stanley

PANELISTS:

Derek Batts, First Vice President, Bloomfield Hills, MI, Morgan Stanley

Shaun Fulton, First Vice President, Washington, DC, Morgan Stanley

Rebecca Powell, Senior Vice President, Florham Park, NJ, Morgan Stanley

11:20am – 12:20pm
LAUREL A & B

Introduction to ESG Investing

***TRUSTEES/PLAN SPONSORS ONLY**

ESG investing is the integration of Environmental, Social, and Governance factors into the investment and decision-making processes. The practice of ESG investing is dynamic and has evolved significantly over time since inception. Today it is used by pensions trustees, family offices, college and universities, Wall Street firms, and sovereign wealth funds. Join us in this session as we explore the range of definitions and approaches, and discuss the benefits and challenges to ESG investing.

INSTRUCTORS:

Frances Tuite, Portfolio Manager, Fairpointe Capital

Christopher Hanlon, Senior Vice President of Insurance Strategy and Asset Liability Management, Macquarie Investment Management

LAUREL C & D

Fundamentals of Credit Markets and Investments

***TRUSTEES/PLAN SPONSORS ONLY**

Global credit markets have evolved since the Global Financial Crisis. Investors have sophisticated commitments to credit as an alternative asset class. At over \$600B, fixed income alternatives provide unique opportunities. This session will cover fixed income alternatives and securitization: the structure of deals, the risks, the opportunities and the changes that have occurred over the past decade.

HARBORSIDE D

INSTRUCTORS:

Doug Gimple, Senior Portfolio Specialist, Fixed Income, Diamond Hill Capital Management, Inc.

Scott Baskind, Head of Global Senior Loans, Invesco

Matthew Harvey, Senior Vice-President, Prudential

The Case for Diversity on Corporate Boards and the Social and Economic Impact

The call for more diversity on corporate boards continues to grow. As more of these prime seats become available, what are key selection drivers? Additionally, are these diverse representatives having an impact on the social consciousness of the organization while also positively benefiting shareholders?

MODERATOR:

Shawna Ferguson, Managing Director, Global Diversity & Inclusion at Wellington Management

PANELISTS:

Chris J. Williams, CEO & Founder, Williams Capital Group

Rita Sallis, Board Member, JP Morgan Infrastructure Investment Fund

Roland Selby, National Site Director (Northeast Region), Year-Up

C. Edward “Chuck” Chaplin, Chairman, Brighthouse Financial

Dr. Lawrence M. Drake, President/CEO, of LEAD

Kimberly Evans, Senior Vice-President, North American Head of Private Capital Administration, Northern Trust

12:30pm – 2:00pm
HARBORSIDE
BALLROOM A - C

JOYCE JOHNSON AWARD LUNCHEON

KEYNOTE SPEAKER SPONSORED BY NATIONWIDE:

Chloe McKenzie, Founder & CEO, BlackFEM

Chloe McKenzie, founder of BlackFem and On A Wealth Kick, LLC, will bring to life the “Powering our Future” of the NASP 30th annual conference, providing a Millennial perspective on financial education and building wealth in the younger generations.

JOYCE JOHNSON AWARD HONOREE:

Sidney Dillard, Partner, Loop Capital Markets

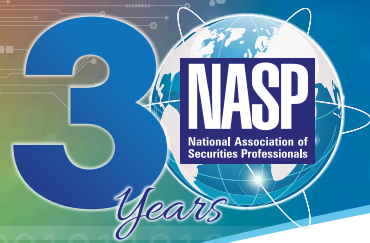
DAY 2

TUES
JUNE 25

SCHEDULE OF EVENTS

SCHEDULE OF EVENTS





DAY 2

MON
JUNE 25

2:15pm - 3:15pm
HARBORSIDE
BALLROOM A - C

Afternoon Plenary Session (in conjunction with The NASP Institute)
State of the African American & Latino Investment Industry

This session will examine the current status of minority owned investment firms—their successes and challenges. We will also discuss existing and anticipated market and economic trends and how they may impact the growth and success of African American and Latino owned investment management companies in traditional and alternative strategies over the next market cycle.

MODERATOR:

Shawn K. Lytle, Global Head of MIM, US Country Head of Macquarie Group, President of Delaware Funds

PANELISTS:

Jarvis Hollingsworth, General Counsel, Kayne Anderson Capital Advisors, L.P.

Tina Byles Williams, CEO, FIS Group

Samuel Ramirez, Jr., CEO, Ramirez Asset Management

3:25pm - 4:25pm
LAUREL A & B

Negotiating Fees with Consultants & Investment Managers

***TRUSTEES/PLAN SPONSORS ONLY**

Trustee-led discussion with no service provider participation

KENT A - C

Institutional: Is there an Alternative?

More investors are seeking alternative market investments, specifically Private Equity and Private Real Assets to help achieve their targeted returns. What are the driving forces and attractiveness of the asset classes? What should investors consider as they increase their allocations? Investors are also simultaneously demanding more transparency from their investment managers so where does the balance lie?

MODERATOR:

Dana Johns, Senior Portfolio Manager, Maryland State Retirement and Pension System

PANELISTS:

Rob Hamilton Kelly, Managing Director, Alternative Investment Management Selection (AIMS) Goldman Sachs Asset Management

Mark Schoenfeld, Managing Director & Partner, Real Estate, Carlyle Group

Eddie Keith, Partner, Landmark Partners

Brian Tortorella, Managing Director, Head of Mortgage and Real Estate Debt Alternatives, Smith Graham & Co.

HARBORSIDE E

Divided Congress

As the 116th United States Congress commences its term, the balance of power has shifted, further shifting priorities, potentially building new alliances and forcing everyone to question what legislative action is feasible. Repeal of SALT? Infrastructure package? Return of Advance refundings? TIFIA and RIFF expansion? Super AMT bonds? It's all on the table.

MODERATOR:

Guy T. Logan, Managing Director and Head of Metropolitan Issuers Finance Group, Citigroup

PANELISTS:

Derek S. Green, Councilman-At-Large, City of Philadelphia

Gerrard Bushell, President and CEO, DASNY

Ritta McLaughlin, Chief Education Officer, MSRB

Chris Mauro, Municipal Strategist and Research Analyst, RBC Capital Markets

HARBORSIDE D

Managing Your Career: The Importance of Sponsorship/ Mentorship, The Power of Networking and The Value in Building Your Personal Brand

The workplace continues to become more competitive. The ability to effectively communicate while being a vital team member is just as important as being coachable and building relationships with key stakeholders in your success. Panelists will discuss the best ways to establish mentor relationships (internal and external), how to cultivate a powerful professional network and how to strategically promote your personal brand.

MODERATOR:

Dale I. Favors, Founder & Managing Partner, Adaptive Growth Leadership (AGL)

PANELISTS:

Nancy Vailakis, Director Funds, IQ EQ

Rory Verrett, Founder, Protégé Search

Rock Anderson, SVP, Human Resources, Financial Industry Regulatory Authority (FINRA)

Leo Mensah, Senior Associate, Legal Counsel, Guggenheim

Erika Seth-Davies, Founder, Racial Equity Asset Lab

DAY 2

TUES
JUNE 25

SCHEDULE OF EVENTS

SCHEDULE OF EVENTS



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¹ Fortune, fortune.com/best-workplaces-for-diversity.

² Women's Business Enterprise National Council, wbenc.org/americas-top-corporations-for-wbes-current-recipients.

³ Fortune, fortune.com/best-companies/list.

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Pension & Financial Services Conference
Commemorating Our Past and Powering Our Future

DAY 2
TUES
JUNE 25

3:30pm - 5:30pm One on One Career Coaching + Corporate Sponsors Corner

ESSEX A - C

*OPEN TO ALL ATTENDEES

Adaptive Growth Leadership

Cerberus

Diamond-Hill

Nationwide

Wellington

Cocktail Reception | 6:30pm - 7:30pm

HARBORSIDE FOYER

7:30pm - 11:00pm

HARBORSIDE A - C

NASP BLACK TIE AWARDS GALA & ENTERTAINMENT

TRAVERS BELL, MAYNARD JACKSON, PACESETTERS

PACESETTER AWARD HONOREES:

Illinois Municipal Retirement Fund, Brian Collins, Executive Director, (on behalf of)

Daniel Woodall, Jr., Business Manager, Laborers Local 135, Philadelphia, PA

TRAVERS BELL AWARD HONOREE:

Leona Bridges, Commissioner, San Francisco Employees' Retirement System

MAYNARD JACKSON AWARD HONOREE:

Andre' Rice, Founder and CEO, M2: Muller and Monroe Asset Management

SCHEDULE OF EVENTS

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Pension & Financial Services Conference
Commemorating Our Past and Powering Our Future

DAY 3
WED
JUNE 26

8:00am – 9:00am Continental Breakfast/Networking
HARBORSIDE FOYER

8:00am – 4:00pm Registration
REGISTRATION A/B DESK

8:00am – 4:00pm NASP Partner Expo
HARBORSIDE FOYER

8:30am – 9:30am NASP Morning Plenary: Industry Leader Panel
HARBORSIDE A - C
OPENING REMARKS
MODERATOR:
David Rubenstein, Co-Founder and Co-Executive Chairman, The Carlyle Group, Host, The David Rubenstein Show
PANELISTS:
John Rogers, Founder and CEO, Ariel Investments
William Stromberg, CEO, T. Rowe Price
Shundrawn A. Thomas, President, Northern Trust Asset Management

9:30am - 10:00am NASP Update
HARBORSIDE A - C
REMARKS:
Orim Graves, NASP Executive Director

10:10am – 11:10am Active AND Passive Investing: Portfolio Construction in the Era of an Aging Bull Market
HARBORSIDE D

Seasoned investment professionals are all well versed in the common Active vs. Passive debate. Those who support passive investing point to the fact that it is very hard to outperform in an efficient market while active management supporters ardently argue that skilled managers have been proven to outperform over time. Modern investors rarely recognize the fact that there are attractive merits in active and passive investing. Does an investment portfolio need to be polarized in one way

*Please take note of new program format

SCHEDULE OF EVENTS

30



DAY 3

WED
JUNE 26

10:10am - 11:10am
(CONT.)

or another to perform well? Should asset allocators be asking themselves is my portfolio positioned for past markets or future markets?

During this panel we will explore the benefits of considering both active and passive investing during the construction of a forward-looking portfolio that is designed for today's increasingly complex marketplace.

MODERATOR:

Michael Andeberhan, CFA, CAIA, Executive Director, Client Coverage, MSCI, Inc.

PANELISTS:

Garrett A. Norman, CFA, Executive Director, Beta Strategies Group, JP Morgan

Richard W. Ingram, Executive Director, Teachers' Retirement System of the State of Illinois

Machel Allen, President and Chief Investment Officer, Metis Global Partners

HARBORSIDE E

Growing Urbanization, the Ride - Share Economy and Our Investment in U.S. Transportation Modes

As U.S. populations continue to flood into cities, urbanization and revitalization of our nation's city centers has created increasing demand on transportation infrastructure. Roads are facing increased congestion from the popularity and convenience of ride sharing service companies and new emphasis has been placed on better funding for transit. Surcharges on ride sharing companies, regional commitments from states to provide sustainable funding and a cadre of other revenue raising ideas have advanced. How are states, cities and regional economies responding to the need to invest in and think about transportation modes differently?

MODERATOR:

Sewon Kim, Managing Director and Head of Transportation Group, Siebert Cisneros Shank

PANELISTS:

Dennis Anosike, Chief Financial Officer, Washington Metropolitan Area Transit Authority

Art Smiley III, Chief Financial Officer, Metropolitan Transit Authority of Harris County

Nick Grieshaber, Chief Financial Officer, Pennsylvania Turnpike Commission

Brad Mims, President and CEO, Conference of Minority Transportation Officials

LAUREL A - D

Powering our Future Across Generations

Explore the next steps on financial education, building wealth and attracting younger diverse generations - Millennials and Generation Z - to the financial services industry. This panel discussion moderated by Kristi Rodriguez, leader of the Nationwide Retirement Institute, provides a deeper discussion on enhancing inclusion by extending financial education to younger and at-risk generations and leveraging philanthropic work to boost inclusion for all in the financial services industry, including retaining diverse talent. Panelists will bring a range of generations and voices to the panel.

MODERATOR:

Kristi Rodriguez, Associate Vice President, Nationwide Retirement Institute, Nationwide

PANELIST:

Ricardo Salinas, Associate Director, Overseas Private Investment Corporation (OPIC)

Scott Bell, Corporate Vice President, New York Life

Marc Minor, President, CJM Consulting

Kayla Monroe, Transformation Office Analyst, MassMutual

11:20am - 12:20pm

HARBORSIDE D

Risk Mitigation Strategies in Different Market Environments

There has been tremendous growth in risk-managed equity and multi-asset strategies that seek to mitigate downside risk. Strategies that incorporate various forms of managed volatility, option overlays and low-volatility equities have attracted significant assets over the past several years. These strategies, individually and in combination, can be used to reshape investment outcomes to benefit investors. But these strategies have their strengths and weaknesses, and investors should expect them to behave differently depending on the market environment. In this discussion, we compare and contrast various risk mitigation strategies and consider how investors can use them to reshape the distribution of outcomes to better meet objectives.

MODERATOR:

Aron Pataki, Portfolio Manager, Newton Investment Management

PANELIST:

Guaylon Arnic, Portfolio Manager, Profit investment

Tiffany McGhee, Founding Partner, Head of Institutional Investment Services and Manager Research, Momentum Advisors

Degas Wright, CFA, CEO & Chief Investment Officer, Decatur Capital Management, Inc.

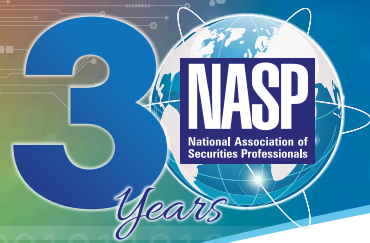
Sharyn Murray, CFA, Principal, Hedge Fund Strategies, GCM Grosvenor

DAY 3

WED
JUNE 26

SCHEDULE OF EVENTS

SCHEDULE OF EVENTS



DAY 3

WED
JUNE 26

SCHEDULE OF EVENTS

11:20am - 12:20pm
(CONT.)
HARBORSIDE E

Leading for the Future - Newly Elected/Appointed Treasurers and CFO's

In recent elections a cadre of newly minted fiscal leaders have assumed leadership. Meet the new Treasurers and CFOs charged with leading our municipal governments. Find out their top priorities and the many considerations each face in their jurisdiction.

MODERATOR:

Natasha Holiday, Managing Director, RBC Capital Markets

PANELISTS:

Honorable Colleen Davis, Delaware State Treasurer

Honorable Roosevelt Council, Jr., Chief Financial Officer, City of Atlanta

Honorable Denise Olson, Chief Financial Officer, City of Phoenix

Honorable Bruno Fernandes, Deputy CFO and Treasurer, District of Columbia

LUNCHEON 12:30pm - 2:00pm

HARBORSIDE BALLROOM A - C

KEYNOTE: *Shawn T. Wooden, Treasurer, State of Connecticut*

LEGISLATIVE UPDATE: *Donna Sims Wilson, President, Smith Graham & Co., NASP Board Chair*

2:15pm - 3:15pm
HARBORSIDE D

The Evolving Role of Credit

The credit markets have evolved since the Global Financial Crisis (GFC) in the last decade. Today more investors are growing in their knowledge and commitments to credit as an alternative asset class. As this segment grows, what are some of the near term and longer term dynamics to consider.

MODERATOR:

Marisa Grant, CIO, Montgomery County, Maryland Public Schools

PANELISTS:

Ralph Divino, Division Director, Senior Client Portfolio Manager, Macquarie Investment Management

Jerome Meniffee, Managing Director, Head of Corporate Banking & Capital Markets, Stern Brothers

Andy Johnson, Senior Diversity & Inclusion Leader, Neuberger Berman

Paul Triggiani, Managing Partner, Invesco Capital Partners

Jeffrey Lipton, Managing Director, Head of Municipal Research And Strategy, Municipal Capital Markets, Oppenheimer

HARBORSIDE E

Managing and Financing Emerging Needs in the Water Sector

Dealing with population growth, regulatory challenges, water supply/storage issues as well as the increasing incidence of stormwater intrusion, leaders in the water and wastewater sector are faced with unique challenges and opportunities to think about their mission differently. Combined with shifting legal precedent for repayment of special revenue obligations, what operational and funding tools (revenue bonds, WIFIA, SRFs, etc.) will help water systems manage these needs? What role will green bonds and sustainability play in the present and future of water infrastructure?

MODERATOR:

Kristin Krug, Director, Citi

PANELISTS:

Matt Brown, Chief Financial Officer, DC Water

Marjorie Henning, Deputy Comptroller for Public Finance, City of New York

Yvette Downs, Chief Financial Officer, Sewerage and Water Board of New Orleans

Alejandro Escobar, Portfolio Manager, Water Infrastructure Finance and Innovation Act (WIFIA) Program, Environmental Protection Agency

3:25pm - 4:25pm
HARBORSIDE A - C

"CIO" Plenary Session

Chief Investment Officers are often asked to predict everything from world championship teams to the best asset classes and investment managers. Let's listen in to what the great minds are thinking when it comes to the top performing asset classes of 2019, the top performing style of manager and also what qualities they look for.

MODERATOR:

Donna Sims Wilson, President, Smith Graham & Co., NASP Board Chair

PANELISTS:

Andrew Palmer, Chief Investment Officer, State of Maryland

Doug Brown, Senior Vice President and Chief Investment Officer, Exelon Corporation

Brian O'Neil, MBA, Chief Investment Officer, Robert Wood Johnson Foundation

CONFERENCE ADJOURNED

DAY 3

WED
JUNE 26

SCHEDULE OF EVENTS





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Memberclicks is Coming!

Memberclicks is an interactive database that will allow us to connect to you more efficiently. NASP will also introduce a new website connected to Memberclicks. The new NASPHQ website will integrate with Memberclicks to offer a full-featured social community for NASP members.

Be on the look-out for a post-conference email detailing the launch date and instructions on how to log in to our new and improved system!

FOLLOW US!

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-  Join hundreds of members at NASP - National Association of Securities Professionals - Headquarters.
-  Like "NASP HQ" on FB and view event photos and other updates.



FAST TRACK PROGRAM

NASP chapters have developed mentoring relationships with the next generation of professionals from local high school students through the FAST Track program as part of the mission to inspire and encourage minority and women students to build careers as finance professionals. The dedicated volunteers of FAST Track in Atlanta, Detroit, New York and Southern California:

- ➔ Teach a curriculum covering the fundamentals of the stock market and financial literacy;
- ➔ provide experiential learning through group projects and competitions including stock market simulations;
- ➔ teach college and career skills such as resume writing, public speaking, and business etiquette;
- ➔ provide early exposure to the industry through field trips to financial and educational institutions; and
- ➔ host fundraisers to donate scholarships to college-bound FAST Track participants.

To volunteer or learn how to get involved, connect with the local chapter or contact NASP Headquarters to be connected.

Check out the conference mobile app to meet chapter officers in attendance or visit www.nasphq.org to view officer names.

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SYMPOSIUM
AND GENERAL
BODY MEETING**



THE NASP LEGISLATIVE SYMPOSIUM enhances our mission to promote fair and balanced policies for the inclusion of minorities and women in all aspects of the financial services industry. This exclusive event features key financial oversight representatives and decisionmakers from Congress, regulatory agencies and quasipublic private sector entities. Hear about the legislative and regulatory landscape and changes that can affect your firm, learn about potential business opportunities with the federal government, and more!

THE GENERAL BODY MEETING is your opportunity to provide your thoughts and ideas to help further NASP's mission as well as receive important updates about current and future initiatives.

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NASP held the first Mentors Luncheon in 1998 in Washington D.C. and over the years in New York City, Chicago, and Philadelphia. This event is held through the NASP Foundation which is a 501(c)(3) non-profit organization. The event has reached over 1000 students since inception from HBCU's, public, and private universities with underrepresented and first generation college student populations.

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Sidney Dillard
*Partner, Investment Banking Division
Loop Capital Markets*

Sidney Dillard is head of the Corporate Investment Banking Division at Loop Capital. In addition to managing the corporate team at Loop Capital, Ms. Dillard is responsible for relationship management and the team's business development efforts with corporations across all areas of the firm, including bond underwriting, initial public offerings, private institutional capital raising and mergers and acquisition advisory. Ms. Dillard serves on the firm's Management Committee and Fairness and Valuation Committee.

She has over 30 years of experience in financial services, encompassing a long tenure at The Northern Trust Company, where she was a Senior Vice President and Division Manager responsible for commercial banking, treasury management, retirement plan custody and investment services for large corporations.

Outside of Loop Capital, Ms. Dillard is heavily involved with various professional organizations. She is a member of The Chicago Network, International Women's Forum, Economic Club of Chicago, Obama Foundation Inclusion Council and a Leadership Greater Chicago Fellow. In addition to her organizational memberships, she also sits on various boards including the National Association of Securities Professionals (NASP), IFF, Girls Scouts of Greater Chicago and Northwest Indiana, Harriet's Daughters, Muntu Dance Theatre of Chicago (chair), and buildOn Midwest Advisory Board.

Ms. Dillard received a Masters of Management in Finance, Marketing and Management from Northwestern University's Kellogg Graduate School of Management, and a Bachelor of Arts in Economics from Stanford University.

She holds Series 24, 7, 63 and 79 licenses.

Ms. Dillard lives in Chicago, Illinois with her husband and has two children who are 20 and 17 years old.

JOYCE JOHNSON AWARD



The Morrissey & Dittmer families commend the
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We congratulate Andrè, as well as his colleagues and
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Since 1941, IMRF has provided employees of local governments and school districts in Illinois (with the exception of the City of Chicago and Cook County) with a sound and efficient system for the payment of retirement, disability, and death benefits.

Since 2004, the IMRF Board of Trustees has adopted formal goals for the utilization of minority and women owned investment managers.

IMRF has an aspirational goal that no less than 20% of its assets are managed by Minority owned firms. As of 12/31/18, \$8.7 billion or 22.6% of IMRF's total assets are managed by Minority owned firms across domestic equity, fixed income, international equity and alternative assets classes. During 2018, IMRF allocated \$2.1 billion to minority and women owned firms.

Furthermore, at the February Board Meeting, the IMRF Board of Trustees will adopt an aspirational goal that Minority asset managers earn not less than 20% of all investment management fees paid by IMRF.



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Daniel L. Woodall, Jr.

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*Laborers' District Council of the Metropolitan area of
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Daniel Woodall graduated from Simon Gratz High School in Philadelphia and then attended St. Joseph's University where he studied Parliamentary Law.

It was in 1970 that he joined the Laborers' Local 135 and in 1972 he was appointed Steward. In 1977 he was appointed Recording Secretary of Local 135. He is presently the Elected Business Manager of Laborers' Local 135, a position he has held since 1987. Mr. Woodall is a Trustee and was successful in winning his eleventh (11) consecutive elections this past June 2008. In 1998 he became Trustee of the Laborers' District Council of Metropolitan Area of Philadelphia & Vicinity; Trustee and Co-Chairman, Laborers' District Health and Welfare Fund; Trustee and Co-Chair, Laborers' District Council Construction Industry Pension Fund; Trustee Laborers' District Council Prepaid Legal Fund, and L.E.C.E.T Health & Safety March 2006 he was elected as Auditor for Pennsylvania State AFL-CIO. Since 1991 he has been President of the Laborers' District Council of Metropolitan Area of Philadelphia and Vicinity and was appointed Special Deputy Sheriff for Philadelphia County and holds rank of Lieutenant.

In 1992 Mr. Woodall was honored as the "Man of the Year" for Friends of Labor. In October of 1999, Governor Ridge appointed him to the Pennsylvania State Apprenticeship and Training Council. In 1997, he was appointed by Mario Mele, Chairman of the Montgomery County Commissioners, to the Montgomery County Work Force and Investment Board for the Training and Employment Program.

He currently holds the position as State Chairman, Commonwealth of Pennsylvania North East T3 Coalition. Dan is the Co-chairman of Boys' Towns of Italy Philadelphia Committee and is also active with Unico, Cerebral Palsy Labor All-Star Classic. Currently he is a student of the Shotokan Japanese Martial Arts (Black Belt) and also enjoys playing golf and has been given the nickname "Tiger Woodall" by his fellow Building Tradesmen.

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Leona Bridges

Leona M. Bridges is a Commissioner for the City and County of San Francisco Employees' Retirement System, where she chairs the Deferred Compensation Committee. She is a former Director for the Municipal Transportation Agency and was a Commissioner for the San Francisco Parking Authority of the City & County of San Francisco, where she continues to serve on the Bond Oversight Committee.

For more than two decades, Ms. Bridges was a Managing Director at Barclays Global Investors (acquired by BlackRock in 2009), the world's largest asset manager. During her career in the financial services industry, she focused on asset management as it related to global securities lending/trading, cash management, custody services, client service, and business development. Additionally, she was responsible for establishing and maintaining civic and community relationships.


Ms. Bridges holds an MBA from Golden Gate University's Ageno School of Business and a Bachelor of Arts in Business Administration from San Francisco State University. She established an endowed scholarship in the College of Business to support economically and educationally disadvantaged students at San Francisco State University. She is actively involved in her community and believes that "we should always be a blessing to others."

Leona Bridges serves on the board of Progress Investment Management Co. LLC and various community and non-profit boards: San Francisco State University Foundation, Golden Gate University Ageno School of Business Advisory Council, American Baptist Seminary of the West, West Bay Local Development Corporation, and Delta Research and Educational Foundation. Additionally, she is a member of the National Social Action Commission of Delta Sigma Theta Sorority, Inc., and the former General Secretary for the California State Baptist Convention, Inc.

Ms. Bridges received an Honorary Doctor of Laws Degree from American Baptist Seminary of the West, Berkeley, CA. She was inducted into the Alumni Hall of Fame of San Francisco State University and the Securities Services Hall of Fame of Global Custodian. She is the recipient of many prestigious awards: National Sojourner Truth Meritorious Service Award from The National Association of Negro Business and Professional Women's Clubs, Inc.; Community Service Award from Golden Gate University; Charles Hayes Award from Northern California Coalition of Black Trade Unionists; Political Awareness Award from San Francisco Peninsula Chapter of Delta Sigma Theta Sorority, Inc.; Trailblazer Award from the National Coalition of 100 Black Women of San Francisco; Certificate of Honor from the Board of Supervisors, City & County of San Francisco; Woman of Achievement Award from Legal Momentum, Inc.; Citizen of the Year Award from the Golden Gate Section of the National Council of Negro Women, Inc., and Special Achievement Award from the Risk Management Association.

TRAVERS J. BELL, JR. AWARD

30



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3

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Andre Rice

President

Muller & Monroe Asset Management, LLC

Andre Rice is the President of Muller & Monroe Asset Management, LLC (M2), which he founded in 1999. Previously, André organized several successful investments for wealthy individuals and provided select investment banking services to the world's largest commodities trading firm.

André began his career as an auditor at Peat, Marwick, Mitchell, & Co. (now KPMG). He then worked in securities sales for the Private Client Group at Goldman, Sachs & Co. and was a Senior Project Manager in the Mergers and Acquisitions Department at Kraft Foods Inc.

André is a member of the Board of Directors of CNA Financial Corporation; a member of NASP (National Association of Securities Professionals) and the National Association of Investment Companies (NAIC); and serves on the Chicago Cook Workforce Innovation Board, appointed by Mayor Rahm Emanuel and Cook County Board President, Toni Preckwinkle.

André received a BS in accounting, with honors, from South Carolina State University and an MBA from the University of Chicago. He is a CPA.

MAYNARD JACKSON AWARD

ENTREPRENEUR OF THE YEAR AWARD

30

Years

JOYCE JOHNSON AWARD

The Joyce Johnson Award was created by NASP as a tribute to Joyce Johnson's dedication, struggle and legacy as a co-founder of the organization whose mission was to make a difference for minorities and women professionals in the securities industry. The purpose of this award is to recognize true leaders whose efforts best exemplify the advancement of the ideals and principals of NASP.

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Rose Harper-Elder '93
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Vicki R. Palmer '09
Donna Sims Wilson '10

Mona S. Williams '11
Catherine E. Pugh '12
Kathleen Colin '13
Gwendolyn L. Butler '14
Mellody Hobson '15
Linda Joyce Jordan '16
Norice R. Rice '17
Sherry Mose '18



TRAVERS J. BELL, JR. AWARD

The Travers J. Bell, Jr. Award was created by NASP to commemorate Travers Bell's life and legacy as the second chairman of NASP and institutionalize his extraordinary contributions as a national financial leader and entrepreneur. The purpose of the award is to recognize above average success and has become NASP's standard for excellence, achievement and enlightened leadership in the various divisions of the finance industry.

Francisco Borges '91
Clark Burrus '92
Maynard Jackson '93
Virvus Jones '94
Maceo K. Sloan '95
Henry E. Parker '96
John W. Rogers, Jr. '97
George L. Forbes, Esq. '98
Jesse L. Jackson, Sr. '99
David N. Dinkins '00

Andrew Young '01
Willie Brown '02
Ernest G. Green '03
John H. Stroger, Jr. '04
Denise Nappier '05
Wendell Anthony '06
Alphonso E. Tindall, Jr. '07
James F. Haddon '08
William C. Thompson, Jr. '09
Emil Jones, Jr. '10

Marx L. Cazenave, II '11
McCullough Williams, III '12
Christopher J. Williams '13
Dmitri Stockton '14
Lyle Logan '15
Vicki L. Fuller '16
Les Bond '17
Thurman V. White, Jr. '18



LIFETIME ACHIEVEMENT AWARD

Established in 2012, the Lifetime Achievement Award is presented to a member of NASP, who, during his or her lifetime, has made significant achievements and contributed richly to the financial services industry. The award honors one individual who has achieved financial success, who has uncompromised integrity and dignity, and who has a strong record of philanthropic benevolence. It recognizes a man or woman who has served as a pioneer for women and people of color, has advocated for their inclusion in all areas of the financial services industry, and has set a living example that influences others to strive for excellence in the industry.

Eddie Brown, Jr. '12
Arthur E. McClearin '14

Jorge Castro, CFA '15
(posthumously)

Denise L. Nappier '18



PACESETTER AWARD

The Pacesetter Award was created by NASP to recognize individual trustees or funds that have ensured or promoted the full involvement of women or minorities in the securities industry.

Henry Nicholas '96
George R. Tydings '96
District of Columbia Retirement Board '96
Nicholas J. Maiale '96
H. Carl McCall '96
Samuel Staten, Sr. '96
Florida Board of Administration '97
Ohio Bureau of Workers' Compensation '98
Prince George's County '99
State of Wisconsin Investment Board '00
Major F. Riddick, Jr. '01
Howard University '02
New York City Employees' Retirement System '03
Chicago Teachers' Retirement System '04
Los Angeles City Employees' Retirement System '05
Lois Jean Turner '06
Pervis Thomas '07
California State Teachers' Retirement System (CalSTRS) '08
City of Philadelphia Board of Pensions & Retirement '08
The State of New York '09

MAYNARD JACKSON AWARD

The Maynard Jackson Entrepreneur of the Year Award was established by NASP to honor the financial service professional who best exemplifies entrepreneurial achievement, community service and high moral standards.

Kneeland Youngblood '99
Susquehanna Partners '00
Christopher J. Williams '01
J. Donald Rice, Jr. '02
Paul Viera '03
Louis Holland '04
Gerald B. Smith '05

David Baker Lewis '06
Eugene J. Duffy '07
Tina Byles Williams '08
Laurence O. Gray '09
Isaac H. Green '10
Quintin E. Primo, III '11
James Reynolds, Jr. '12

Suzanne Shank '13
JoAnn H. Price '14
Eric T. McKissack, CFA '15
Herndon Capital Management (HCM) '16
Samuel A. Ramirez, Sr. '17
Nili Gilbert, CFA, CAIA '18





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Joseph Boateng, CFA, CPA/PFS

Chief Investment Officer, Casey Family Programs

Mr. Boateng is the Chief Investment Officer at Casey Family Programs where he is responsible for managing the Foundation's \$2.3 billion endowment. Before joining Casey, he was a member of the Johnson & Johnson Investment Committee, responsible for managing over \$17 billion in employee benefit assets worldwide. He also spent 13 years at the Xerox Corporation where he was a key member of the Trust Investment Team that managed \$10 billion in assets. In addition to his role at Casey, he is Chair of the Investment Advisory Committee for the Seattle City Employees' Retirement System, a member of The Seattle Foundation's Investment Committee, and a member of the CREF Board of Trustees and Board Member of Indianapolis-based Lumina Foundation. He also serves on the Advisory Councils of NASP Africa Institutional Investors and the African Private Equity and Venture Capital Association.

Mr. Boateng received his MBA degree from UCLA. He is an alumnus of the Saïd Business School at Oxford, a Certified Public Accountant, and a CFA Charterholder.



Douglas J. Brown

Senior Vice President and Chief, Investment Officer, Exelon Corporation

Douglas J. Brown joined Exelon Corporation as Senior Vice President and Chief Investment Officer in November 2009. As Chief Investment Officer, Doug leads a team of professionals responsible for managing all investment activities including pension funds, nuclear decommissioning trusts, defined contribution plans and other employee benefit trusts totaling \$43 billion. He is a member of Exelon's Corporate Investment Committee and the Exelon Foundation Board of Directors.

In 2012, Doug was named Large Corporate Pension Manager of the Year by Institutional Investor. The award recognizes institutional investors whose innovative strategies and fiduciary savvy have resulted in impressive returns. From 2013 through 2018, he was named to Chief Investment Officer Magazine's Power 100 list of most influential asset owners.

Prior to joining Exelon, Doug was Chief Investment Officer at Chrysler LLC with responsibility for all asset management activities globally, including pension funds, defined contribution plans and other employee benefit trusts totaling \$30 billion. Chrysler's \$20 billion U.S. pension fund has been consistently among the top performing funds.

Doug's career with Chrysler and its affiliates began in 1983. He was advanced to positions of increasing responsibility, including Treasurer for DaimlerChrysler Canada and Director, Corporate Finance and Capital Markets for DaimlerChrysler Corporation. He was a member of the Chrysler LLC Investment Committee and previously served on the DaimlerChrysler Investment Committees in the United States, Germany and Canada and on the Board of Directors and Investment Committee for the DaimlerChrysler Insurance Company.

Doug is a member of the Economic Club of Chicago and is Chairman of the Board of Directors of The Committee on Investment of Employee Benefit Assets (CIEBA) in Washington, DC. He also serves on the Board of the Goodman Theatre in Chicago. From 2013 to 2015, Doug served on the Board of the CFA Society of Chicago.

Doug was born in Paterson, New Jersey and holds a B.A. degree in economics from Albion College and an MBA from the University of Detroit Mercy.




FEATURED SPEAKERS



Mesirow Financial celebrates thirty years of NASP excellence and salutes the contributions of Orim Graves.

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Matthew T. Brown

Chief Financial Officer, DC Water

Matthew Brown is the Chief Financial Officer for DC Water where he is responsible for the management of DC Water's finances as well as procurement. Mr. Brown oversees the financial operations for the \$615 million operating budget and the ten-year \$5 billion capital improvement program.

From 2015 until his appointment as Chief Financial Officer, Mr. Brown served as a principal member and chairman of the DC Water Board of Directors and Director of the Office of Budget and Finance for the District of Columbia. Prior to that position, Mr. Brown served as the Director of the District of Columbia Department of Transportation. Mr. Brown began his career with the New York City Office of Management and Budget and has held positions with the Milwaukee Metropolitan Sewerage District, Public Financial Management, and the Metropolitan Washington Area Transit Authority. Mr. Brown holds a B.A. in Political Science from Texas Wesleyan University, and a M.P.A. in Budget and Public Finance from George Washington University.



C. Edward "Chuck" Chaplin

Chairman of the Board, Brighthouse Financial, Inc.
Director, MGIC Investment Corporation

C. Edward (Chuck) Chaplin is the Chairman of the Board of Brighthouse Financial, one of the largest providers of life insurance and annuities in the US. Brighthouse was created by Met Life and was spun off to its shareholders in 2017. He also is a director of MGIC Investment Corp, one of the nation's largest mortgage insurers, where he serves on the Securities and Investment and Risk Committees of the Board. Finally, he is Audit and Risk Management Committee chair and a member of the Board of Overseers of the Rutgers University Foundation, on which he has served for approximately 14 years.

Chuck retired as President and CFO of MBIA in 2017. MBIA is a holding company whose subsidiaries are among the largest bond insurers in the US. He was named co-president of the company in 2008.

Prior to joining MBIA, Chuck was Senior Vice President and Treasurer of Prudential Financial Inc. responsible for its capital and liquidity management, corporate finance, and banking and cash management. He led the development of the company's internal capital model, and played a key role in the company's demutualization and IPO in 2001.

Chuck joined Prudential in 1983 as a commercial mortgage loan officer. In 1993, he was promoted to Managing Director and Assistant Treasurer, and then appointed Treasurer in 1996. Prior to joining Prudential, Chuck worked for the State of New Jersey, in urban planning and economic development roles.



Chaplin has an undergraduate degree from Rutgers College and a Master's Degree in City and Regional Planning from Harvard University. He is a member of the Rutgers African American Alumni Association, as well as the Executive Leadership Council and Sigma Pi Phi and Kappa Alpha Psi fraternities.

Chuck and his wife Karen live in Greenwich CT and Palm Beach Gardens FL and have two adult children.

FEATURED SPEAKERS



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Honorable Roosevelt Council, Jr.

Chief Financial Officer, City of Atlanta

Mr. Council was named Chief Financial Officer for City of Atlanta in June 2018, overseeing the financial management and financial growth of the City.

Prior to that Mr. Council served as Airport General Manager at Hartsfield-Jackson Atlanta International Airport from May 2016 – May 2018. As General Manager of the world's busiest airport, Mr. Council oversaw the management and expansion of the airport campus which host over 100M passengers annually. Previously, he was the Airport Deputy General Manager and Chief Financial Officer.

Prior to joining the Airport team, he served as Deputy CFO and interim CFO for the City of Atlanta. Before his employment with the City of Atlanta, Mr. Council was CFO of the Georgia Technology Authority.

He is a graduate of University of Memphis, the Harvard University Executive Leadership Program and the Georgia Leadership Council.

Honorable Colleen Davis

Delaware State Treasurer

Colleen C. Davis was elected State Treasurer on November 6, 2018. She was sworn into office on January 1, 2019. Colleen has a rich history of working for the First State. She served as the Treasurer for the Delaware Physician Assistant Advocacy Group (DAPA) and as a board member on the Advisory Board of Forge Life Sciences and on the Board of Delaware Maritime Education. In conjunction with these achievements, Colleen has also served as a financial consultant to large medical institutions, as well as small independent practices. As such, she has created fiscal stability and improved positive patient outcomes, while saving millions of dollars in overall health care costs.

Colleen believes in a strong community and wants to help the State of Delaware improve its quality of life. Over the years, she has volunteered locally, nationally and internationally.

After Nepal was struck by a 7.8 magnitude earthquake in April 2015, she went as a physician's assistant to help people affected near Kathmandu. Colleen is a proven leader in Disaster Relief, Medical-Surgical practices, Pediatric Advanced Life Support (PALS), Emergency Medicine, Neurosurgery and Advanced Cardiac Life Support (ACLS).



Yvette Downs

Chief Financial Officer, Sewerage and Water Board of New Orleans

Yvette Downs is a senior executive with a proven track record of sound financial leadership and guidance including excellence in planning and policy development for various governmental functions with a commitment to inclusion and diversity for both staff and community served. As a strong leader, she has worked for various municipal agencies in the areas of transportation, water and sewer and low income housing finance. Throughout her career she has effectively fostered a results driven approach, leading change to create new rate structures and fees, create and implement affordability programs, replace aging technology systems and turnaround systems through innovation and collaborative financial consensus building. Her experience includes the Washington DC Metrorail system, DC Water, WSSC in Maryland, DC Housing & Finance Agency and the Cincinnati MSD/Greater Cincinnati Water Works. In her current role as CFO with the Sewerage and Water Board of New Orleans, Yvette has been able to re-gain customer confidence in a customer billing system that was notorious for producing



erroneous bills, stabilize the financial status of the Board, development a new procurement policy and processes to create a regulatory compliant environment and complete a CAFR that was already late when she walked in the door. Through her ability for strategic planning, creation of innovative financial structures and engaged management approach, Yvette has made great strides in moving the Board toward financial sustainability.

Yvette is married, with 3 adult children and is currently living in New Orleans, LA. She is a graduate of Vassar College, holds an MBA and is a Certified Construction Manager. She is an active member of the Government Finance Officers Association, Delta Sigma Theta Sorority, Inc. and currently services on the EPA Environmental Finance Advisory Board.



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Lawrence M. Drake II, Ph.D.

President/CEO, of LEAD



Dr. Lawrence M. Drake, II is an accomplished businessman, corporate board director, author, scholar, and emerging thought leader on the complexities of Black fatherhood in America. For over forty years, he's held a variety of senior-level positions at global companies, including The Coca Cola Company, Executive Leadership Council, PepsiCo, Cablevision Systems Corp., and Kraft Inc. As the current President and CEO of LEADership, Education and Development, a 21st century learning advocacy organization, he skillfully oversees initiatives that prepares the current and future generation of leaders for success in their academic and professional endeavors.

A devoted family man, Dr. Drake found a heightened sense of purpose after the tragic loss of his oldest daughter in 2017. His book, "Color Him Father," gives an honest and compelling look into the experience of Black fatherhood, while exploring themes of grief, dignity, and vulnerability. As a speaker, he regularly gives insightful talks on issues concerning the Black man and how the Black family as a whole is formed including his writings in a publication of the National Academy of Medicine entitled: "Stories About Black Men in the Media and Their Consequences For Health" His messaging sheds light on what the Black family needs to thrive, and the role learning plays in its present and future state. Based in Atlanta, Georgia, he is a life member of Alpha Phi Alpha, Fraternity, Inc., and actively serves on the boards, or is a member of notable organizations such as Groundswell Inc, the American Psychological Association, Association of Black Psychologists, and Judson University. His personal interests include creating new memories with his wife, his five children, and seven grandchildren.

Christian Dunbar

Deputy City Treasurer, City of Philadelphia

Christian Dunbar is the Treasurer for the City of Philadelphia. In this capacity, Dunbar oversees the issuance of all notes and bonds on behalf of the City's General Fund and Enterprise Funds used to finance capital projects. Dunbar is also responsible for the City's \$3.5 billion investment portfolio. Dunbar serves as a Mayoral appointee and Chairman of the Philadelphia Land Bank Board of Directors. He also serves as an advisor to the United States Agency for International Development (USAID) and the World Bank Group for the advancement of capital market development, structured product development, and governance in Emerging Markets.

Prior to joining the City, Dunbar was a Wealth Manager with Wells Fargo Advisor. In that capacity, he managed investments and credit products for high-net worth individuals, institutions, and public entities. Dunbar has also advised several African governments on fiscal and monetary policy. As a

speaker, Dunbar has presented at conferences in the US, Canada, Africa, and Europe on topics including capital market development, emerging market risk, and Environmental Social & Governance (ESG) impact.

Dunbar holds a BA from Temple University (where he was named the Most Valuable Player and Captain of the football team). Dunbar has held Series 7 and Series 66 professional investment licenses.

Dunbar is of Liberian descent. His maternal grandfather, William V.S. Tubman, served as President of Liberia for 27 years. Dunbar is also a descendant of American abolitionist and humanitarian Harriet Tubman. He lives in West Philadelphia with his wife and two children.





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Kimberly Evans

Senior Vice-President, North American Head of Private Capital Administration, Northern Trust

Kimberly Evans is a Senior Vice President at the Northern Trust Company in the Corporate & Institutional Services Business. She is the Practice Executive of the Public Funds/Taft Hartley segment which is currently responsible for over \$750 Billion in Assets Under Custody (AUC). The segment's clients span the U.S., Central and South America.

Kim has over 20 years of financial services, banking and consulting experience. She's held several senior positions within financial institutions such as American National Bank, First Chicago NBD, ABN AMRO/LaSalle Bank, and as Chief Operating Officer of Diamond Bank (previously known as North Federal Bank; now a WinTrust Bank).

Kim is currently the President of the Women In Leadership (WIL) Business Resource Council at Northern Trust where the mission is to engage and empower women for career advancement at the firm and within the industry. She recently joined the board of The Chicago Public School Education Fund (The Fund) which targets the development of principals within CPS. Kim is also a Presidents' Circle Member of Deborah's Place, an organization which seeks to end homelessness for women in Chicago.

Kim graduated from the University of Illinois at Urbana-Champaign where she earned a Bachelor of Science in Psychology. She has also earned numerous business certifications in leadership, business management, organizational development, operations and quality management, and project management.



Shawna A. Ferguson, M.Ed, CCDP/AP

Managing Director, Global Diversity & Inclusion at Wellington Management

As managing director of Global Diversity and Inclusion, Shawna guides the firm's global diversity and inclusion strategy as a fully integrated part of our business practices, programs, and processes. She collaborates with business leaders, the Diversity Committee, and Human Resources to develop customized business-led plans that strengthen our ability to attract, develop, and retain exceptional talent. Shawna is responsible for building the firm's inclusion capabilities, visibility, and impact through a variety of mechanisms, such as adapting best practices, directing business networks governance and programming, implementing diversity education or development offerings, and overseeing the firm's partnerships with external diversity associations. She also consults with our clients and vendors globally on diversity and inclusion issues. She serves on the Diversity Committee and works across the firm in service to our clients, business goals, and talent needs.

Shawna is a Certified Cornell Diversity Professional/Advanced Practitioner (CCDP/AP) from Cornell University's School of Industrial Labor Relations, and a former adjunct instructor there. She earned her master of education with a specialization in adult and

organizational learning from Northeastern University and her BA from Lesley University. She is a 2004 Fellow and 2016 Next Generational Executive Alumna from The Partnership, Inc. leadership development program.



Alejandro Escobar

Portfolio Manager, Water Infrastructure Finance and Innovation Act (WIFIA) Program, Environmental Protection Agency

Alejandro Escobar is a Portfolio Manager for the WIFIA Program. Prior to this, he served as an Environmental Engineer for the Program, and he also provides support to the Risk and Underwriting teams.

He has 17 years of experience providing solutions focused on water, environment, infrastructure, and resource management in public and private sectors.

Prior to joining EPA two years ago, he worked in various technical and management capacities as a consultant for Tetra Tech and Booz Allen Hamilton.

Alejandro has a BS in Environmental Engineering, an MEM from Duke University in Resource Economics and Policy and an MBA from the Australian Graduate School of Management at the University of New South Wales in Sydney.

In his free time, he enjoys swimming, biking, and running and from time to time he puts them all together and races triathlons.



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Honorable Bruno Fernandes

Deputy CFO and
Treasurer, District of
Columbia



Deputy Chief Financial Officer and Treasurer Bruno Fernandes was appointed to his position in August 2018. As Treasurer and Deputy CFO, he manages the District's treasury programs associated with its annual operating and capital budget. This includes management of the District's banking and investment activities, check and electronic receipts and disbursements, and its debt issuance and repayment activities. He is also responsible for the oversight of the District's 401(a) and 457(b) retirement plans, 529 college savings plan, and the Unclaimed Property program.

Previously, Mr. Fernandes was the Vice President and Treasurer of ICF. Prior to joining ICF, Mr. Fernandes led the global treasury operations of Computer Sciences Corporation (CSC). Before joining CSC, Mr. Fernandes held treasury and risk management leadership roles at Intelsat and Sunrise Senior Living. Mr. Fernandes' 25 years of finance and treasury leadership experience also includes serving on Boards of several organizations. He is also an ex-officio trustee of the DC Retirement Board.

Marjorie Henning

Deputy Comptroller for Public Finance, City of
New York

As Deputy Comptroller for Public Finance, Marjorie Henning manages New York City's debt issuance and related policy and administration on behalf of New York City Comptroller Scott M. Stringer.

Ms. Henning is a three-decade veteran of the municipal market. Prior to joining the Comptroller's Office, she spent five years at Citigroup as a Director in the Municipal Securities Division where she covered Northeast issuers. Before joining Citigroup she was General Counsel to the New York City Office of Management and Budget (OMB) where she was responsible for debt issuances by New York City, the New York City Transitional Finance Authority, the New York City Municipal Water Finance Authority, and other NYC-related issuers.

Ms. Henning is a graduate of the Harvard Law School and received her B.A. from the State University of New York at Buffalo, graduating summa cum laude.



Natasha Holiday

Managing Director, RBC Capital Markets

Natasha is a Managing Director at RBC Capital Markets where she originates business amongst large city and state governmental entities to fund public infrastructure. Natasha has worked as a banker and financial advisor for 12 years and has financed and/or advised on over \$12 billion of municipal bond transactions. Natasha serves as the lead banker to the Cities of New York, Philadelphia, and the District of Columbia, and the states of Connecticut, Massachusetts and New York including select transportation issuers. She received the 2016 Rising Star Award by Women in Public Finance and the inaugural Bond Buyer 2016 Rising Star Award, honoring professionals who will have a long-term impact in the industry.

Natasha earned her MPP from Harvard Kennedy School of Government and a BA in History/BS in Political Science from Xavier University (OH), where she currently serves as a member of the Board of Trustees. She is FINRA registered with Series 7, 52 and 63 securities licenses.

Jarvis Hollingsworth

General Counsel, Kayne Anderson Capital Advisors, L.P.

Mr. Jarvis V. Hollingsworth is the General Counsel and serves on the Executive Committee of Kayne Anderson Capital Advisors, L.P., a leading alternatives investment management firm with approximately \$30 billion in assets. He is a former Partner at Bracewell LLP. Mr. Hollingsworth serves as chairman of the board of the Teacher Retirement System of Texas (TRS), a Texas state agency which manages a \$150 billion-plus pension trust fund. He serves on the Board of Directors of Frost Bank (NYSE: CFR), and also on the board of Emergent Technologies LP, a financial technology company that provides payment services and new blockchain-based payment technologies. Mr. Hollingsworth is a former regent and Chairman of the board of the University of Houston System. He received a B.S. from the U.S. Military Academy at West Point and served for several years on active and reserve-duty in the U.S. Army. He received his J.D. from the University of Houston.



Shawn K. Lytle

Global Head of MIM, US Country Head of Macquarie Group, President of Delaware Funds

Shawn K. Lytle is deputy global head of Macquarie Investment Management and president of Delaware Funds by Macquarie. In this role, he is responsible for leading both US and global aspects of the business, on both the client and business side. Lytle joined Macquarie Investment Management (MIM) in June 2015 as president of Delaware Management Holdings, Inc. (Delaware Investments). In January 2018, he took on additional responsibilities as US country head for Macquarie Group. Lytle is also a member of Macquarie Group's Management Committee.

Prior to joining Macquarie, he held several management roles at UBS Asset Management where he worked for 13 years. He was regional head of the Americas for five years, based in Chicago and before that, Lytle worked in London in various investment roles, including deputy global head of equities. Before joining UBS in 2002, he worked for JPMorgan Asset Management for 10 years in a variety of roles in London and New York. He received a bachelor's degree in marketing from The McDonough School of Business at Georgetown University.



Richard W. Ingram

Executive Director, Teachers Retirement System of Illinois

As executive director of Teachers' Retirement System Dick Ingram heads a bellwether public pension fund, with \$52 billion in assets and 400,000 members. Previously Ingram led the New Hampshire Retirement System. His leadership in the public pension community includes serving as the board chair of the National Institute of Retirement Security, as a member of the Executive Board of NCPERS, the Advisory Board of the Toigo Foundation and on the Africa Advisory Committee of NASP. He is a frequent speaker on issues of pension funding, governance and leadership.

Ingram's senior executive leadership includes roles in investment management and financial services where he was responsible for delivering treasury, financial and marketing services to a nationwide clientele. Ingram holds a bachelor's degree in business administration from the University of Rhode Island, and an MBA from the University of Pittsburgh.

Linda Mateza

Head of Investments, Government Employee Pension Fund (GEPF)

Linda Mateza is the Head of Investments and Actuarial Services at South Africa's Government Employees Pension Fund (GEPF).

The GEPF is a defined benefit pension fund with around 1.6 million members, comprised of employees, retirees and beneficiaries. The Fund is the largest pension fund in Africa, with assets valued at ZAR 1,8 trillion (around USD 120 million).

Linda holds a Master's degree in Finance and Investments from the University of the Witwatersrand.

She is a Fellow of the Africa Leadership Initiative and the Aspen Global Leadership Network.



Chloe McKenzie

Founder & CEO, BlackFEM

Chloe McKenzie has a B.A. from Amherst College and a Graduate Certificate in Financial Planning and Services from Boston University. She is currently completing her Master's in Public Administration at NYU Wagner Graduate School of Public Service. Chloe has worked as a mortgage trader at J.P. Morgan and has over five years of wealth advising experience (including acting as a financial advisor for homeless and low-income families). Following a 2015 education fellowship with the New York City public school system, she founded BlackFem, a 501(c)(3) non-profit with a mission to transform school-based learning so that girls of color in underserved communities are empowered with skills, habits, and resources to build and sustain wealth. BlackFem has helped over 15,000 at-risk students in 12 states develop the skills they need to build and sustain wealth with wealth literacy programming.

Chloe's newest venture, On A Wealth Kick, LLC (OWK), combats wealth inequality at both individual and institutional levels. She provides tools for individuals to develop wealth intelligence and advocate for wealth equality. She also serves as an advisor and educational speaker for organizations and institutions, helping them address wealth challenges for their employees and the communities they serve.



Kirsten Krug

Director, Citigroup Global Markets Inc.

Kirsten Krug joined Citi in 1998 and is located in the Philadelphia Office. Ms. Krug is responsible for Citi's public finance efforts in the Commonwealth of Virginia, the State of Delaware, the Commonwealth of Kentucky, the State of West Virginia and the State of South Carolina. Her experience with public finance issuers covers a broad spectrum of product areas, including general obligation, water/sewer, transportation, airports, school districts, tax-increment, utilities, appropriation-backed credits and derivatives. Over her tenure at Citi, she has completed over \$18 billion in municipal bond financings. She currently serves on the Citi Women's Network - NYC Steering Committee and is a former member of the Citi Municipal Securities Division Diversity Committee. Ms. Krug graduated from the University of Pennsylvania with a B.S. in Economics, concentrating in Finance.



Ndabe Mkhize

CIO, Eskom Provident and Pension Fund

Mr Ndabe Mkhize is Chief Investment Officer of the Eskom Pension and Provident Fund with assets of R140 billion (\$10 billion) and more than 80000 members.

Ndabe has been involved in the financial services industry for more than 20 years, starting in the actuarial field and then moving to the asset management industry.

He began his career at Old Mutual in 1998 as an Actuarial Assistant then moved to Prudential in 2003 to take on the position of Equity Analyst. In 2005, he joined Coronation Fund Managers rising to a role of joint Portfolio Manager of the Coronation Property Equity Fund. Between 2008 and 2010, Ndabe was involved in the direct real estate industry and made an attempt to list the first SA Healthcare Property Fund in 2010. He then joined Stanlib Listed Property team in late 2010 and remained with this team until April 2014.

Ndabe was appointed Deputy Chief Investment Management Officer of the Eskom Pension and Provident Fund (EPPF) in May 2014 with responsibility for internally managed assets in Equities, Fixed Income and Listed Property.

In April 2015, Ndabe stepped into the role of Acting Chief Investment Officer with overall investment oversight over the entire assets of the Fund and was appointed as a Chief Investment Officer in December 2016.

He holds both the Chartered Financial Analyst (CFA) and the Chartered Alternative Investment Analyst (CAIA) professional designations and a BSc. (Actuarial Science) degree from the University of Cape Town (UCT). Ndabe has also completed the Investment Management Workshop at the Harvard Business School as well as the EDHEC - Risk Institute's Advances in Asset Allocation.

**Angela Miller-May**

Chief Investment Officer, Chicago Teachers' Pension Fund

Angela Miller-May serves as Chief Investment Officer for the Chicago Teachers' Pension Fund. She focuses on providing strategic portfolio construction, excellent manager selection and rigorous due diligence and leadership in meeting CTPF's investment goals. Ms. Miller-May joined the Chicago Teachers' Pension Fund in 2010.

Prior to serving as the CIO, she acted in several progressively responsible positions at CTPF, including Director of Investments, Portfolio Manager-Alternatives and Investment Operations Analyst-Risk Management. In these roles, she was responsible for many aspects of investment management.

Ms. Miller-May serves as a member of the National Association of Securities Professionals (NASP) Africa Institutional Investors Advisory Council and the National Conference on Public Employee Retirement Systems (NCPERS) CIO Summit Advisory Faculty.

Ms. Miller-May earned her M.B.A in Accounting from DePaul University and her B.A. in Economics from Northwestern University.

**Honorable Denise Olson**

Chief Financial Officer, City of Phoenix

Ms. Olson was appointed Chief Financial Officer in November 2015. She began her career with the City in 1994 in the Finance Department, working as an economist. She became Deputy Finance Director in 2006 and was promoted to Assistant Finance Director in 2012. Throughout her career she has managed financial planning, financial systems applications, procurement, city controller functions, financial accounting and reporting and has been involved in the planning and issuances of over three billion in debt to fund capital expenditures. Ms. Olson has a bachelor's degree in Business Administration with majors in Human Resources and Economics from New Mexico State University, and a Master of Public Administration from Arizona State University. Ms. Olson is a board member for the City of Phoenix Employees Retirement System and she was recently named the CFO of the year by Phoenix Business Journal.

**Brian S. O'Neil, MBA**

Chief Investment Officer, Robert Wood Johnson Foundation

Brian O'Neil joined the Robert Wood Johnson Foundation as Chief Investment Officer in January 2003. He is responsible for the Foundation's \$11.4 billion investment portfolio.

O'Neil worked at the Equitable Life Assurance Society (now AXA Equitable) from 1980-2002. He was named Deputy Chief Investment Officer in 1990 and Chief Investment Officer in 1992, at the time the company demutualized and went public. In this role he was responsible for Equitable's \$40 billion general account investment portfolio, as well as relationships with ratings agencies and investors. In 1995, he moved to AXA's headquarters in Paris, where he was global head of Asset/Liability Management and, in 1997, was named Chief Investment Officer of AXA Investment Managers Paris. On his return to Equitable, he became head of the Variable Life and Annuity Funds Management business.

O'Neil is a trustee of the Brooklyn Public Library System.

He received an MBA from the Columbia Graduate School of Business, and an AB from Princeton University. He received the CFA designation in 1983.

**Andrew C. Palmer, CFA**

Chief Investment Officer, Maryland State Retirement and Pension System

Andrew Palmer, CFA is the Chief Investment Officer for the Maryland State Retirement and Pension System. In this role, he is responsible for the day-to-day operations of the System's investment division and has overall responsibility for the System's investment program.

Previously he was the Deputy Chief Investment Officer, Director of Fixed Income for the Tennessee Consolidated Retirement System. In addition to his role as Director of Fixed Income, he led the construction of a Strategic Lending Portfolio, was a member of the Private Equity and Real Estate Committees and shared in the responsibility for tactical asset allocation and new product development. He began his career at ASB Capital Management, a Bethesda, Maryland based institutional advisory firm.

Mr. Palmer is a member of the University of Maryland, Economics Leadership Council, and the ILPA, has been active in the CFA program and was President of the Washington Association of Money Managers. He received a BA and an MA in Economics from the University of Maryland.

**Mary Pugh**

CEO & Chief Investment Officer, Pugh Capital

Ms. Pugh co-founded Pugh Capital in 1991 and has 38 years of institutional investment experience. Her vision in establishing Pugh Capital was to create a diverse Seattle-based asset management firm that clients view as a trusted partner. Pugh Capital specializes in managing fixed income portfolios for institutional clients. The firm began with \$5 million in assets under management and one client, and now manages about \$7 billion on behalf of its clients. In 2018 Pugh Capital was selected by Pension & Investments as one of the Best Places to Work in Money Management in the small manager category.

Ms. Pugh has a long history of community service. Ms. Pugh graduated from Yale University with a B.A. in Economics.



Queen Chinyere Quinn

Co-Founder & Partner, Kupanda Capital

Queen Nworisara Quinn is a founding Partner at Kupanda Capital, a leading investment and advisory firm established to capitalize and scale pan-African companies. At Kupanda Capital, Queen has worked to provide tailored advice and support to entrepreneurs, project developers, fund managers and corporations operating in Africa. She currently serves on the board of Copernicus.io a proprietary geospatial platform that provides reliable and representative data on any customizable geographic area across the African continent. Prior to joining Kupanda Capital, Queen was an Investment Officer in the African Development Bank's (AfDB) Private Sector Department, originating transactions in manufacturing, agribusiness and private equity. While at the AfDB, Queen also served as Chief of Staff in the Office of the Vice President for Infrastructure and Private

Sector Development where she advised the Vice-President on emerging issues of corporate significance, ensured efficient coordination across a complex of five departments with yearly lending operations of approximately US\$ 8 billion, and contributed to the effective implementation of key decisions and strategy. Prior to joining AfDB, Queen was a management associate with the Citigroup Corporate and Investment Bank working within the Institutional Clients Group, where she contributed to new business development. Queen is a graduate of Penn State University with a B.A. in International Politics and received her Master's in Public Policy from the John F. Kennedy School of Government at Harvard University, with a concentration in International Trade and Finance. She also holds a PhD in Strategy & International Business from the Judge Business School at the University of Cambridge where she attended as a GatesCambridge Scholar.

**Samuel A. Ramirez, Jr.**

CEO, Ramirez Asset Management

Samuel A. Ramirez, Jr. is the founder, President, and CEO of Ramirez Asset Management, Inc. (RAM), a S.E.C. registered investment adviser specializing in managing fixed income strategies for institutional clients. Sam first joined Ramirez & Company, Inc., one of the oldest, largest and best capitalized minority-owned investment banks in the U.S., in July 1992. During his 27 year career with the organization, Sam led the development and growth of its core divisions. Sam has spent time in the institutional sales and trading, public finance, and corporate banking divisions while developing significant fixed income investment management experience.

After founding RAM, Sam took an active management role in 2008, overseeing the Firm through the growth of its investment professionals, investment strategies and assets under management (AUM). The Firm is focused on serving the fixed income investment management needs of institutional public and private pension funds, corporations, and state and local governments. Under his leadership, as both President and CEO and a portfolio manager, RAM has more than doubled its AUM in the past 4 years to its current level of \$2.5 billion (as of June 2019). RAM was awarded fixed income Emerging Manager of the Year for both 2016 and 2017. Under Sam's guidance, the firm has developed numerous top performing fixed income investment products, with the largest, the Core Strategy, ranking in the top 10% of all managers reporting to eVestment for the 3 and 5 year period (as of June 2019).

Sam has completed Executive MBA studies at the Tuck School of Business at Dartmouth College. Prior to that, he earned a bachelor's degree in Economics from the University of Vermont and also engaged in postgraduate Executive MBA studies at the Gabelli School of Business at Fordham University. Sam holds his Series 7, 24, 63 and 65 licenses and continues to serve as a Senior Managing Director at Ramirez. He is actively involved in New York-based business and civic organizations, including the Municipal Bond Club, Municipal Forum, the Museum of the City of New York, and the Catholic Big Sisters and Big Brothers. Sam lives in New York City with his wife, Fabiana, and three children, Carolina, Juliana, and Trey.

**John W. Rogers, Jr.**

Chairman & Chief Executive Officer, Ariel Investments

John is chairman, chief executive officer, and chief investment officer of Ariel Investments, a Chicago-based firm he founded in 1983 which offers no-load mutual funds for individual investors as well as separately managed accounts for institutions and high net worth individuals. Beyond Ariel, John is a board member of McDonald's, NIKE, The New York Times Company and serves as vice chair of the board of trustees of the University of Chicago. Nationally, John is a member of the American Academy of Arts and Sciences, and a director of the Robert F. Kennedy Human Rights. In 2008, John was awarded Princeton University's highest honor, the Woodrow Wilson Award, presented each year to the alumni whose career embodies a commitment to national service. Following the election of President Barack Obama, he served as co-chair for the Presidential Inaugural Committee 2009, and more recently, he joined the Barack Obama Foundation's Board of Directors.

**David M. Rubenstein**

Co-Founder and Co-Executive Chairman, The Carlyle Group, Host, The David Rubenstein Show

David M. Rubenstein is a Co-Founder and Co-Executive Chairman of The Carlyle Group, one of the world's largest and most successful private investment firms. Mr. Rubenstein co-founded the firm in 1987. Since then, Carlyle has grown into a firm managing \$222 billion from 33 offices around the world.

Mr. Rubenstein is Chairman of the Boards of Trustees of the John F. Kennedy Center for the Performing Arts, the Smithsonian Institution, and the Council on Foreign Relations; a Fellow of the Harvard Corporation; a Trustee of the National Gallery of Art, the University of Chicago, Memorial Sloan-Kettering Cancer Center, Johns Hopkins Medicine, Lincoln Center for the Performing Arts, the Institute for Advanced Study, the Brookings Institution, and the World Economic Forum; and President of the Economic Club of Washington.

Mr. Rubenstein is a member of the American Academy of Arts and Sciences, American Philosophical Society, Business Council, Harvard Global Advisory Council (Chairman), Madison Council of the Library of Congress (Chairman), Board of Dean's Advisors of the Business School at Harvard, Advisory Board of the School of Economics and Management at Tsinghua University (former Chairman), and Board of the World Economic Forum Global Shapers Community.

Mr. Rubenstein is an original signer of The Giving Pledge, a significant donor to all of the above-mentioned non-profit organizations, and a recipient of the Carnegie Medal of Philanthropy, and the MoMA's David Rockefeller Award, among other philanthropic awards.

Mr. Rubenstein has been a leader in the area of Patriotic Philanthropy, having made transformative gifts for the restoration or repair of the Washington Monument, Monticello, Montpelier, Mount Vernon, Arlington House, Iwo Jima Memorial, the Kennedy Center, the Smithsonian, the National Archives, the National Zoo, the Library of Congress, and the National Museum of African American History and Culture.



Mr. Rubenstein is the host of The David Rubenstein Show: Peer-to-Peer Conversations on Bloomberg TV and PBS. Mr. Rubenstein, a native of Baltimore, is a 1970 magna cum laude graduate of Duke University, where he was elected Phi Beta Kappa. Following Duke, Mr. Rubenstein graduated in 1973 from the University of Chicago Law School, where he was an editor of the Law Review.

From 1973-1975, Mr. Rubenstein practiced law in New York with Paul, Weiss, Rifkind, Wharton & Garrison. Mr. Rubenstein was Deputy Assistant to the President for Domestic Policy. After his White House service and before co-founding Carlyle, Mr. Rubenstein practiced law in Washington with Shaw, Pittman, Potts & Trowbridge (now Pillsbury Winthrop Shaw Pittman).

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Rita Sallis

Board Member, JP Morgan Infrastructure Investment Fund
Senior Advisor, Blueprint Capital Advisory Board

Rita Sallis is a member of the JP Morgan Infrastructure Investment Fund board. Her board experience also includes a three-year term on the Municipal Securities rulemaking Board, 2014 to 2017.

Ms. Sallis is also a Senior Advisor to Blueprint Capital Advisors where provides guidance on business strategies and development, and is a member of the firm's investment committee. Prior to Blueprint, she was a Principal at the Yucaipa Companies. She was responsible for marketing, client servicing, investor relationship maintenance and deal sourcing. She joined the Yucaipa Companies after serving for eight years as Deputy Comptroller of the City of New York.

She is the former Chief Investment Officer of New York City's \$100 billion pension system where she directed the overall investment plans of the City's five public pension boards. Her responsibilities included the day to day operations of the Bureau of Asset Management (BAM) with direct oversight of the treasury, accounting, budget, tax and audit activities of BAM. The funds invested in a variety of asset classes, including U.S. and foreign equities, U.S. fixed income products, private equity and real estate. Her functions also included managing the fund's cash, directing allocations to investment advisors and analyzing the performance of individual managers.



William Stromberg

CEO, T. Rowe Price

Bill Stromberg is president and CEO of T. Rowe Price Group, Inc., and chairman of its Board of Directors. He is the chairman of the firm's Management Committee and Management Compensation Committee. Mr. Stromberg served as the head of Equity from 2009 to 2015 and the head of U.S. Equity from 2006 to 2009. He also served as a director of Equity Research from 1996 to 2006, as a portfolio manager of the Capital Opportunity Fund (2000 to 2007) and the Dividend Growth Fund (1992 to 2000), and as an equity investment analyst from 1987 to 1992.

Mr. Stromberg has 31 years of investment experience, all at T. Rowe Price. Prior to joining the firm in 1987, he was employed by Westinghouse Defense as a systems engineer.

Mr. Stromberg earned a B.A. from Johns Hopkins University and an M.B.A. from the Tuck School of Business at Dartmouth. He also has earned the Chartered Financial Analyst designation. Mr. Stromberg currently serves on the Johns Hopkins University Board of Trustees and the Hopkins Whiting School of Engineering Advisory Council. Mr. Stromberg previously served nine years on the Catholic Charities Board of Trustees, with two years as Board president.



Roland Selby

National Site Director (Northeast Region), Year-Up

Mr. Selby is the Executive Director for Year Up Baltimore and National Site Director for the Year Up Southeast Region. Year Up is a national nonprofit organization that provides workforce development for young adults 18-24 years of age. A native of Baltimore and a Morgan State University alumnus where he earned his Bachelor of Science degree in Accounting. Mr. Selby attended Howard University School of Divinity in pursuit of a Masters of Divinity degree. Recently, Mr. Selby completed the Georgetown University Nonprofit Management Executive Certificate Program in the Summer 2018 Cohort. Mr. Selby is also an alumnus of LEADERShip Baltimore's 2017 Cohort. Mr. Selby is a Project Management Institute (PMI) certified Project Management Professional (PMP) and is a Lean Six Sigma Black Belt. He has 20 years management experience with fortune 100 companies such as American Express, Citibank, Wells Fargo, Lockheed Martin and IBM.

Mr. Selby is married to Lynn Selby and they have 3 teenage children (Jordan, Jessica, and Jaden). He is a member of Kappa Alpha Psi Fraternity, Incorporated; Sigma Pi Phi Fraternity; and King David Lodge #18 of the Most Worshipful Prince Hall Grand Lodge of MD. He serves on the Board of Directors for the Howard E. Rollins Foundation and the Elijah Cummings Youth Program in Israel.



Shundrawn A. Thomas

President, Asset Management, Northern Trust

Shundrawn A. Thomas serves as President of Northern Trust Asset Management where his responsibilities include developing long-term strategy, executing operating plans, cultivating client relationships, managing vendor relationships and developing talented professionals. He also serves as a member of the Management Group for Northern Trust Corporation.

Previously, Shundrawn served as Executive Vice President, Head of Funds and Managed Accounts. In this capacity he oversaw the development, management and distribution of Northern Funds, Northern Institutional Funds, FlexShares Exchange Traded Funds as well as the Managed Accounts practice which provides investment advisory solutions to clients. Shundrawn also served as President and Chief Executive of Northern Trust Securities, Inc., a wholly owned subsidiary of Northern Trust Corporation. Prior to joining the asset management executive team, Shundrawn served as Head of Corporate Strategy for Northern Trust Corporation. As a direct report to the Chief Executive Officer, he supported executive management with key strategic planning initiatives. Prior to joining Northern Trust, Shundrawn served as a vice president for Goldman Sachs and held positions in sales, trading and research with Morgan Stanley.

Shundrawn received a B.S. degree in accounting from Florida A&M University and an MBA degree from the University of Chicago Booth School of Business. He has also completed executive education programs in corporate strategy at Chicago Booth School of Business and corporate governance at University of Notre Dame Mendoza School of Business. Shundrawn currently holds FINRA series 7 and 63 securities licenses. Shundrawn currently serves as a trustee for Wheaton College and as a board director Museum of Science & Industry, Chicago. Shundrawn is a member of the Economic Club of Chicago. His civic involvement has afforded him the opportunity to present and lecture nationally on topics including leadership, strategy, investment management and professional development.



Fatima Vawda

CEO, 27Four

Fatima holds an MSc in Applied Mathematics and is the founder and Managing Director of 27four Investment Managers, a South African based investment management firm. She has over 20 years' experience as a practitioner in African and international capital markets. Fatima has over the years received numerous accolades both domestically and internationally, having won the Ernest & Young World Entrepreneur Southern Africa Emerging Category award in 2016. Fatima was also voted as 1 of the 50 most influential figures in African asset management by Africa Asset Management Power 50. She is also an active member of the Association of Black Securities and Investment Professionals (ABSIP) and a Director of the Association of Savings and Investments South Africa (ASISA).



Christopher J. Williams

CEO & Founder, Williams Capital Group

Christopher J. Williams is Chairman, CEO and founder of The Williams Capital Group, L.P. and Williams Capital Management, LLC.

Mr. Williams began his career at Lehman Brothers in 1984, and over the course of his tenure, assumed senior management responsibilities in debt capital markets, derivatives, and fixed income trading. Mr. Williams then formed a derivatives division of Jefferies & Company, which later became The Williams Capital Group, L. P. in 1994.

For nearly two decades Williams Capital has consistently ranked among the most active underwriters of equity and investment grade debt offerings. Over this period, Chris has been recognized by Crain's New York Business, Fortune magazine, The New York Times and The Wall Street Journal for his leadership in the financial services industry.

Mr. Williams also serves on the board of directors of Ameriprise Financial, Inc., The Clorox Company and Cox Enterprises, Inc. Previously, Mr. Williams also served a 16-year term on the Board of Directors of Caesars Entertainment Corporation and a ten year term on the Board of Directors of Wal-Mart Stores, Inc. He is the former Chairman of the Board of Overseers for the Tuck School of Business at Dartmouth College and serves on the board of Lincoln Center for the Performing Arts.

Christopher J. Williams holds a Bachelor of Architecture from Howard University and and a Master of Business Administration from the Tuck School of Business at Dartmouth College.



Donna Sims Wilson

President, Smith Graham & Co., NASP Board Chair

Donna Sims Wilson is the President of Smith Graham & Co. Investment Advisors, L.P., a \$6 billion investment management firm in its 29th year of operations. Mrs. Wilson is responsible for Business Development, Marketing and Client Relations. She also works with the Chairman and CEO on new product development and short and long-term strategies for the firm. Mrs. Wilson currently serves as Chair of the Board of the National Association of Securities Professionals and is a Co-founder of its Africa initiative. She is a member of the Board of Directors of the Greater Houston Partnership and the United Way of Houston. She is also a member of the Economic Club of Chicago. Mrs. Wilson is a former Board Member of the Securities Industry Financial Management Association (SIFMA), and a former Member of Fannie Mae's National Advisory Council. She has also served as Chairman of the Sub-Saharan Africa Advisory Board of the Export Import Bank of the United States.



Mona S. Williams

President, Progress Investments, NASP Board Member

Mona is responsible for the firm's business operations outside of portfolio management, research and finance. This includes business development and sales, client and consultant relationship management, fund administration, information technology and compliance. She is a member of the Board of Directors where she chairs the Management Committee.

Prior to joining Progress, Mona was Director of Marketing for Albriond Capital Management. She also served as the Director of Marketing for Capital Insight Brokerage. Her earlier experience includes positions in the institutional equities department of Bear Stearns and the retail division of Merrill Lynch. Mona earned a Bachelor of Arts degree from the University of California, Berkeley. She is a Board Member of the National Association of Securities Professionals, and held Series 7 and 63 licenses (inactive).



Tina Byles Williams

CEO, FIS Group

Tina Byles Williams founded FIS Group 22 years ago and has over 34 years of investment experience. She is the chief investment strategist and portfolio manager for the firm's global equities product. As Chief Investment Officer, she chairs the firm's Investment Committee, overseeing all investment strategy, manager search and disposition decisions.



Before joining WHP, Ms. Byles Williams served as Chief Investment Officer to the City of Philadelphia's \$2.5 billion Board of Pensions and Retirement. Prior to her work with the Board of Pensions and Retirement.

Ms. Byles Williams is a recipient of several industry awards and was recently nominated as a board member for the Vantage Trust Corporation and the Center City District. She is also a board member of ICMA Retirement Corporation (ICMA-RC), a provider of retirement services with over \$50 billion under management and administration. She is also the former Chair of the Research Committee and a former board member of the National Women's Business Council.

Ms. Byles Williams is the chairman and driving force behind FIS Group's philanthropic entity, A Good Measure Foundation, which provides financial literacy education to inner city youth and supports programs designed to empower impoverished or marginalized women or children in the United States and internationally.

Ms. Byles Williams has been quoted and featured in several national and global finance publications such as the Wall Street Journal, Reuters, Institutional Investor, Bloomberg, Market Watch, Value Walk and Pensions and Investments.

Ms. Byles Williams completed her undergraduate work at New York University where she graduated Magna Cum Laude and earned her Master's degree in Public Policy with an emphasis in Finance from Harvard University

Shawn T. Wooden

Treasurer, State of Connecticut

Shawn T. Wooden was sworn-in as Connecticut's 83rd State Treasurer on January 9, 2019.

Previously, Treasurer Wooden was a Partner in a major law firm where he led its public pension plan investment practice and was a member of the National Association of Public Pension Attorneys. Early in his legal career, he worked in the AFL-CIO's Office of Investment in Washington, D.C.

The youngest of six children from Hartford, CT, Treasurer Wooden participated in a de-segregation busing program and attended school in suburban Manchester, CT where he graduated high school with honors.

He went on to earn a four-year academic scholarship to Trinity College in Hartford, where he now is a member of its Board of Trustees. He later attended New York University School of Law.

Pensions and Investments named him one of the "Twenty-Five Investment Professionals to Watch." Savoy magazine named him as one of the most influential black lawyers in the country.



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A nighttime photograph of the Chicago skyline as seen from a balcony. The balcony has a curved white railing and a black metal balustrade. In the background, numerous skyscrapers are illuminated with lights, and the city streets below are also lit up. The sky is dark blue.

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