31ST ANNUAL PENSION AND FINANCIAL SERVICES CONFERENCE

NASP
National Association of Securities Professionals

AEMS
THE AFRICA & EMERGING MARKETS SUMMIT

MIDA ADVISORS

VIRTUAL 2020

THE FUTURE IS NOW: THRIVING IN THE NEW WORLD OF FINANCE

PROGRAM BOOK

DECEMBER 7-10

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WELCOME FROM NASP BOARD CHAIR AND NASP PRESIDENT & CEO

December 2020

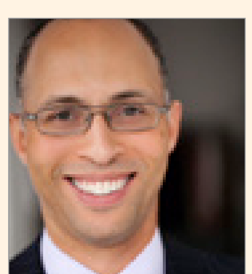
On behalf of the Board of Directors of the National Association of Securities Professionals (NASP), we welcome you to the virtual NASP 31st Annual Pension and Financial Services Conference.
We wish to thank our members, corporate and strategic partners, conference planning committees, plan sponsors, and staff for your hard work and dedication to this year's conference. While this year is truly different, your resiliency has allowed us to make this virtual conference experience a great one.

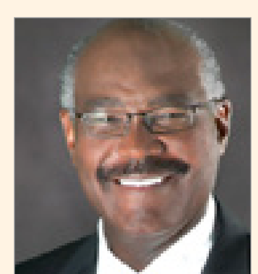
Our theme, "The Future is Now: Thriving in the New World of Finance", was chosen months before the COVID-19 pandemic, but could not be more timely. This year has tested all of us in many different ways: untimely death of loved ones, heighten racial tension, demand for social and economic justice, a tumultuous election season, ongoing political acrimony, and a global pandemic.
We will be exploring how to navigate this new environment while remaining focused on the future. Although we cannot be together in person this year, we still have a content-rich experience planned for you. We have prepared four and a half days of programming. Some highlights include:

- **Building a Dynamic Firm** - strategic and operational tools needed to prepare your firm for future growth
- **Women's Forum** - prominent Black women in FinTech, global finance and finance entrepreneurship
- Discuss how to expand opportunities for minority women
- Joyce Johnson Award Ceremony and Fireside Chat - Mellody Hobson President & Co-CEO, Ariel Investments dialogues with Arlene Isaacs-Lowe, Global Head of Corp. Social Responsibility, Moody's Foundation
- Mayors, state treasurers, and other elected officials discuss current issues and share their insights throughout the conference
- Africa and Emerging Markets Summit sessions - explore smart cities, the MiDA-USAID partnership, African business opportunities, CIO perspectives, and ongoing USAID support of African growth and development

We encourage you to take an active role throughout the virtual conference. Conference tools on the platform will facilitate networking. Make sure to visit our Virtual Expo Booth, and if you need career support, consider taking advantage of our career coaching on Wednesday afternoon. Our organization has worked diligently to ensure that every attendee has a beneficial experience, and we are confident that you will be empowered to move ideas into action and thrive! Our future is NOW!

Sincerely,





Guy T. Logan
Board Chairman, NASP

Ronald C. Parker
President and CEO, NASP

OFFICE OF THE ILLINOIS STATE TREASURER
MICHAEL W. FRERICHS

National Association of Securities Professionals
1937 14th Street NW
Second Floor
Washington, DC 20009

Dear Friends of NASP,

It is with great pleasure that I welcome you to the 31st Pension and Financial Services Conference. We are excited to virtually host you in Chicago. While the conference format has changed, NASP's advocacy for people of diverse backgrounds in the financial securities industry has never been stronger.

I am proud to serve as an honorary co-chair of this year's annual conference entitled, "*The Future is Now: Thriving in a New World of Finance*." We will underscore the importance of advocating for equal representation and inclusion, doing our part to break down barriers, and collaborating to bring more women and people of color into the fold.

Our country is at a major crossroads, let us use this opportunity to change our country for the betterment for all.

Thank you for joining us. We hope that you learn from this experience and it inspires you to create new ideas that brings positive momentum to the financial services industry.

Sincerely,

Michael Frerichs
Illinois State Treasurer

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Springfield, IL 62706
Phone: (217) 782-2211
Fax: (217) 785-2777
TTY: (866) 877-6013

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OFFICE OF THE PRESIDENT
BOARD OF COMMISSIONERS OF COOK COUNTY

118 NORTH CLARK STREET
CHICAGO, ILLINOIS 60602
(312) 603-4600
TDD: (312) 603-5255

TONI PRECKWINKLE
PRESIDENT

December 2020

Greetings:

On behalf of Cook County, I send warm regards to the National Association of Securities Professionals (NASP) as they kickoff their 31st Annual Pension and Financial Services conference. The theme of this year's conference is *The Future is Now: Thriving in the New World of Finance*. This theme is fitting of a year in which so many of our daily lives and normal routines have had to quickly adapt to virtual settings, and the financial sector is no exception.

I am grateful for the good work of NASP and their unwavering commitment to advancing racial equity in the securities and investments industry. The organization has a proven history of providing opportunities and resources to minority profesionals in order to create inclusive growth and development in the industry.

Despite not being able to meet in person, NASP has convened a virtual conference featuring industry experts and visionary guest speakers. I hope that all participants and speakers enjoy the conference and send best wishes for a great event.

Sincerely,

Toni Preckwinkle

Toni Preckwinkle
President, Cook County Board of Commissioners

Chicago Urban League
Opportunity. Community. Impact.
www.ChiUL.org

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Contributions to the Chicago Urban League are deductible for income tax purposes

An affiliate of the National Urban League

December 1, 2020

Mr. Guy Logan
Chair
National Association of Security Professionals
901 K Street NW, Suite 310
Washington, DC 20001

Dear Mr. Logan, NASP Board and Members:

Please accept this heartfelt welcome as you return to the city of your birth. Even though your convening is virtual this year, the mission and purpose of NASP is now more important than ever. As our country looks to recover from the twin pandemic of COVID-19 and centuries of racism, your organization is uniquely situated to elevate the role of black professionals in the financial services industry. You also have an opportunity to groom the next generation of black financial services professionals and encourage those young people who may not even understand what is possible in this field.

In cities like Chicago, you have two natural allies. The Chicago Urban League and National Urban League affiliates across the United States work daily in support of black professionals and black businesses. We hope you will consider ways that we can partner to achieve those goals that benefit our communities. Additionally, there is a new generation of black mayors who are prepared to serve in the tradition of Maynard Jackson and choose financial services providers through an equity lens. Please confer with them individually and through the African-American Mayors Association to develop an agenda that will remove the barriers in the financial services industry and provide wealth-building opportunities like never before. As a former mayor and municipal finance lawyer, I understand the great potential that exists for us to achieve good together.

Your mission is clear. Your agenda is full. Please accept my best wishes for a productive conference that will enable you to leave with a new determination to transform your industry and our cities.

Sincerely,

Karen M. Freeman-Wilson

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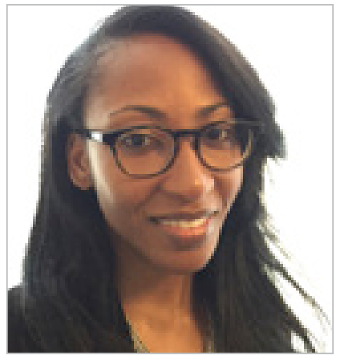

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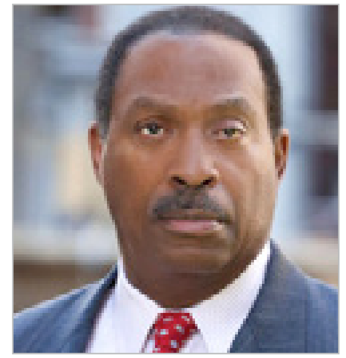

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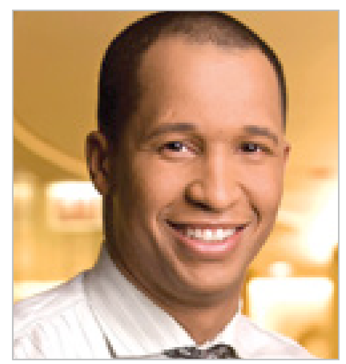
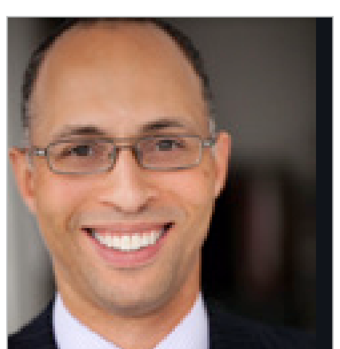


Malik Murray
Institutional
ARIEL INVESTMENTS



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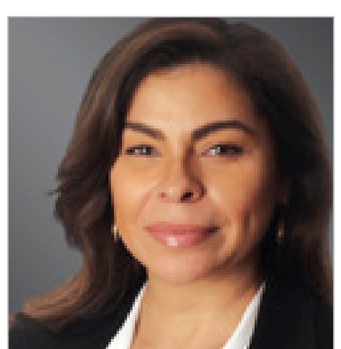

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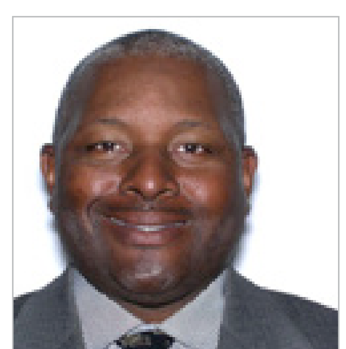
PDS
GREENBERG TRAURIG, LLP



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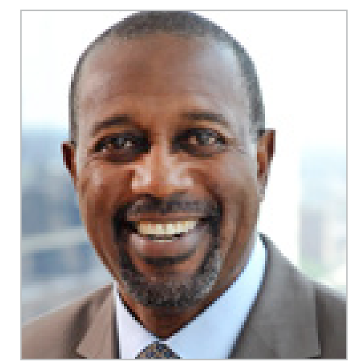

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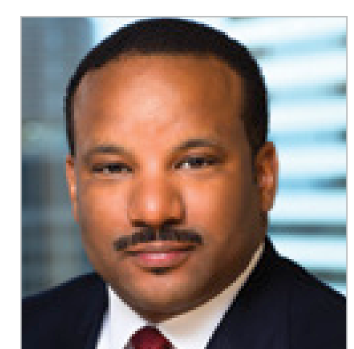

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The NASP Institute
MARQUETTE ASSOCIATES

Farzin Khan
The NASP Institute (NASP)
WELLINGTON MANAGEMENT COMPANY LLP

2020 PLAN SPONSOR ADVISORY COMMITTEE

The Plan Sponsor Advisory Committee (PSAC) is comprised of an impressive group of advisors that have graciously agreed to attend the conference, evaluate the program content to assist in future planning, and encourage other key influencers to attend.

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State of Georgia Retirement Systems

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Casey Family Programs

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Assistant Director Strategic Initiatives
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Levar Hewlett
Senior Investment Analyst
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City of Philadelphia Pension & Retirement Board

Henry Wilson
Chairman
Wayne County Employees Retirement System

Daniel Woodall
Jr., Former Chairman
Philadelphia Laborers District Council Pension Funds

PACESETTER AWARD

AWARD WINNERS

Renaye Manley

SEIU (Trustee)

Renaye Manley brings strategy, innovation and advocacy to the world of finance and pension funds infusing a lens of racial and gender equity. She currently serves as Deputy Director of the Service Employees International Union, Strategic Initiatives department. In this position, she works with pension trustees, union leaders, elected officials and investment professionals around the engagement of multi-billion-dollar union and public pensions funds, including corporate governance and shareholder work. She leads SEIU's "Diversity & Dollars" work, which has led to the adoption of the "Rooney Rule" at seventeen companies, including Facebook and Amazon. She serves as a trustee on SEIU's Taft Hartley pension fund. She previously served on the Federal Reserve Bank of Chicago's advisory board on Small Business, Agriculture and Labor. She previously served on the board of the Council of Institutional Investors which convenes the largest groups of investors and asset owners in the United States and currently helps to lead their shareholder advocacy committee. She also sits on the board of the 30% percent coalition which works on gender and racial equity issues in the world of corporate boards. Prior to her job at SEIU, Renaye worked for Interfaith Worker Justice, a community /labor collaboration, coordinating work with national unions and denominations on issues of workplace justice. She has a background as field organizer, working for years at the AFL-CIO where she focused on field campaigns engaged in political and worker organizing. She is a graduate of Indiana University and has a MBA from Western Governors University. She is happily married to her best friend, Richard Manley and they are the proud parents of Calvin, Cameron and Cayla.

Gilbert Andrew Garcia, CFA

Managing Partner
Garcia Hamilton & Associates, LP

Mr. Garcia received a B.A. in Economics from Yale University in 1985 and joined Salomon Brothers in New York City where he became a Vice President specializing in mortgage-backed securities. In 2002, he joined Garcia Hamilton & Associates and is the firm's Managing Partner. Under his leadership, the firm grew from $200 million in fixed income assets under management to over $16.4 billion as of October 2020.

In 2019, Houston Business Journal recognized Mr. Garcia among Houston's Top CEOs, as well as being ranked among their Best Places to Work in 2018. The firm also received Pensions & Investments' Best Places to Work in Money Management for four years in a row (2016-2019). Emerging Manager Monthly awarded the firm Fixed Income Manager of the Year for the third time (2019, 2018 and 2010).

During the recent COVID-19 crisis, Mr. Garcia and his firm have donated over 30,000 masks along with hand sanitizer and disinfectant wipes to various organizations.

Mr. Garcia is proud to serve on two SEC advisory boards: SEC's Fixed Income Market Structure Advisory Board and SEC's Asset Management Advisory Committee where he leads a subcommittee focused on Diversity and Inclusion. He currently serves as a member of the Board of Trustees for Dallas Police & Fire Pension System. He was awarded "Male Entrepreneur of the Year 2018" by the Houston Hispanic Chamber of Commerce. In 2015, Mr. Garcia was awarded the SEO Alumni Leadership Award alongside other honorees, including the former mayor of New York City, Michael R. Bloomberg, and Co-Founder & Co-CEO of The Carlyle Group, David M. Rubenstein.

From 2012 - 2016, Mr. Garcia served as Chairman of the Metropolitan Transit Authority of Harris County where he implemented the "Garcia Rule" which requires at least one diverse investment manager be included in every asset class search.

MAYNARD HOLBROOK JACKSON, JR. AWARD

AWARD WINNERS

JOYCE JOHNSON AWARD

AWARD WINNERS

Dekia Scott, CFA

Chief Investment Officer
The Southern Company

Ms. Scott is the Chief Investment Officer at Southern Company where she leads its Trust Finance team overseeing the Company's corporate pension plan (market value of approximately $14B) and the investment options of the company's 401k plan (market value of approximately $6.5B). The team also has direct oversight responsibility of various other asset pools such as the Georgia Power Nuclear Decommissioning Trust, the Georgia Power and Southern Company Gas VEBA Trusts, and the Georgia Power Company, Southern Company Charitable and Southern Company Gas Charitable Foundations. Ms. Scott has been with Southern Company since 2000.

Ms. Scott has a broad array of experience ranging from asset manager research and structure to portfolio strategy, asset-liability analysis, and high-level accountability to executive management. Ms. Scott has developed strong relationships with many asset management organizations and professionals, across various layers of the institutional investment industry.

Ms. Scott has a BA in Economics from Spelman College and an MBA in Finance from the Goizueta Business School of Emory University. Ms. Scott is a CFA (Chartered Financial Analyst) charterholder and is a member of the CFA Institute and the Atlanta Society of Finance & Investment Professionals. Ms. Scott currently serves on the Board of Directors of the Council on Alcohol and Drugs (an affiliate of the Georgia Chamber of Commerce).

Ms. Scott lives in Atlanta, Georgia but is originally from Mobile, Alabama. She is married (Michael) with two children ages 18 (Michael) and 16 (Zoe).

Shundrawn Thomas

President
Northern Trust

Shundrawn A. Thomas is a visionary corporate executive with 25 years of high-impact leadership within the world's leading financial services institutions. He has earned an industrywide reputation as a trusted strategic advisor to the C-suite and boards of directors, and as a pacesetter in diversity-fueled organizational transformation.

Highly respected for his authentic servant-leadership style, Shundrawn has earned numerous industry accolades over the years. In 2009, Diversity MBA Magazine recognized him as among the "Top 100 Under 50 Diverse Executive & Emerging Leaders." Black Enterprise Magazine identified him in 2011, "75 Most Powerful Blacks Under 50 on Wall Street" and in 2017 among the "300 Most Powerful Executives in Corporate America." In 2020, Shundrawn was praised by Savoy Magazine as one of the "Most Influential Black Executives in Corporate America."

Currently, Shundrawn is President of Northern Trust Asset Management (NTAM), a leading global investment manager with over $1 trillion in assets under management. A member of the executive management group of publicly traded Northern Trust Corporation, he collaborates with the CEO, board, and executive team to develop and refine corporate strategy, business strategy, and governance. He also leads due diligence for potential acquisition targets. Among his many contributions, Shundrawn is proudest of driving transformation to develop a world class executive leadership team that is globally recognized as one of the most talented and diverse, comprised of two-thirds women and other minorities.

Before becoming President, Shundrawn served as Executive Vice President, Head of Funds and Managed Accounts, where he oversaw three practice areas, including the startup Northern Trust Exchange Traded Fund (ETF) Group, which he built de novo. Also serving as head of global product management, he drove the development and launch of more than 70 new fund products, including mutual funds, ETF, collective investment funds, and UCITS funds.

TRAVERS J. BELL, JR. AWARD

AWARD WINNERS

PAST AWARD RECIPIENTS

JOYCE JOHNSON AWARD

The Joyce Johnson Award was created by NASP as a tribute to co-founder Joyce Johnson whose mission was to make a difference for minorities and women professionals in the securities industry. The award recognizes true leaders whose efforts best exemplify the advancement of the ideals and principles of NASP.

Irene Elmore '91
Maxine Waters '92
Rose Harper-Elder '93
Angela S. Green '94
Charles P. Valdes '95
Denise L. Nappier '96
Patricia A. J. Garrick '97
Bari-Ellen Roberts '98
Gail M. Pankey '99
Della Hood-Laster '00
Joan M. Pratt '01
Brenda Wright '02
Mary A. Collins '03
Judith Rice '04
Myrna M. Rivera '05
Pamela Scott '06
Gail Perry Mason '07
Carol G. Stukes '08
Vicki R. Palmer '09
Donna Sims Wilson '10
Mona S. Williams '11
Catherine E. Pugh '12
Kathleen Colin '13
Gwendolyn L. Butler '14
Mellody Hobson '15
Linda Joyce Jordan '16
Norice R. Rice '17
Sherry Mose '18
Sidney Dillard '19

PACESETTER AWARD

The Pacesetter Award was created by NASP to recognize individual trustees or funds that have ensured or promoted the full involvement of women and minorities in the securities industry.

Henry Nicholas '96
George R. Tydings '96
District of Columbia Retirement Board '96
Nicholas J. Maiale '96
H. Carl McCall '96
Samuel Staten, Sr. '96
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Ohio Bureau of Workers' Compensation '98
Prince George's County '99
State of Wisconsin Investment Board '00
Major F. Riddick, Jr. '01
Howard University '02
New York City Employees' Retirement System '03
Chicago Teachers' Retirement System '04
Los Angeles City Employees' Retirement System '05
Lois Jean Turner '06
Pervis Thomas '07
California State Teachers' Retirement System (CalSTRS) '08
City of Philadelphia Board of Pensions & Retirement '08
The State of New York '09
Maryland State Retirement & Pension System '09
Gary Grippo '10
The Illinois State Senate '10
City of Atlanta General Employees' Retirement Fund '11
California Public Employees' Retirement System '11
Gregory Floyd '12
Timothy Alan Simon '12
Hon. Thomas P. DiNapoli '13
Paul T. Williams, Jr. '13
Emmett D. Carson, PhD '14
James J. Celestine, Jr. '14
Susan Taylor Batten '15
Robert B. Steele '15
J. Anthony Beard, CTP '16
Donald R. White '16
The Los Angeles County Employees Retirement Association (LACERA) '17
The New York State Insurance Fund (NYSIF) '17
Cheryl D. Alston '18
Bryan Lewis '18
Daniel L. Woodall, Jr. '19
The Illinois Municipal Retirement Fund (IMRF) '19

PAST AWARD RECIPIENTS

TRAVERS J. BELL, JR. AWARD

The Travers J. Bell, Jr. Award was created by NASP to commemorate Travers Bell's life and legacy and institutionalize his extraordinary contributions as a national financial leader and entrepreneur. The award recognizes above average business success and has become NASP's standard for excellence, achievement and enlightened leadership in the various divisions of the finance industry.

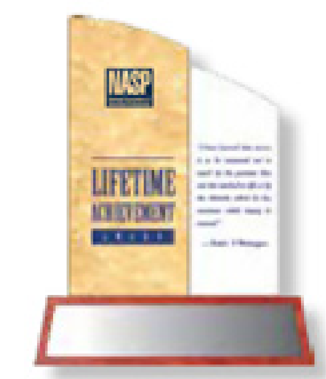
Francisco Borges '91
Clark Burrus '92
Maynard Jackson '93
Virvus Jones '94
Maceo K. Sloan '95
Henry E. Parker '96
John W. Rogers, Jr. '97
George L. Forbes, Esq. '98
Jesse L. Jackson, Sr. '99
David N. Dinkins '00
Andrew Young '01
Willie Brown '02
Ernest G. Green '03
John H. Stroger, Jr. '04
Denise Nappier '05
Wendell Anthony '06
Alphonso E. Tindall, Jr. '07
James F. Haddon '08
William C. Thompson, Jr. '09
Emil Jones, Jr. '10
Marx L. Cazenave, II '11
McCullough Williams, III '12
Christopher J. Williams '13
Dmitri Stockton '14
Lyle Logan '15
Vicki L. Fuller '16
Les Bond '17
Thurman V. White, Jr. '18
Leona Bridges '19

MAYNARD JACKSON AWARD

The Maynard Holbrook Jackson, Jr. Award (formerly the Entrepreneur of the Year Award) was established by NASP to honor the financial service professional that best exemplifies entrepreneurial achievement, community service and high moral standards.

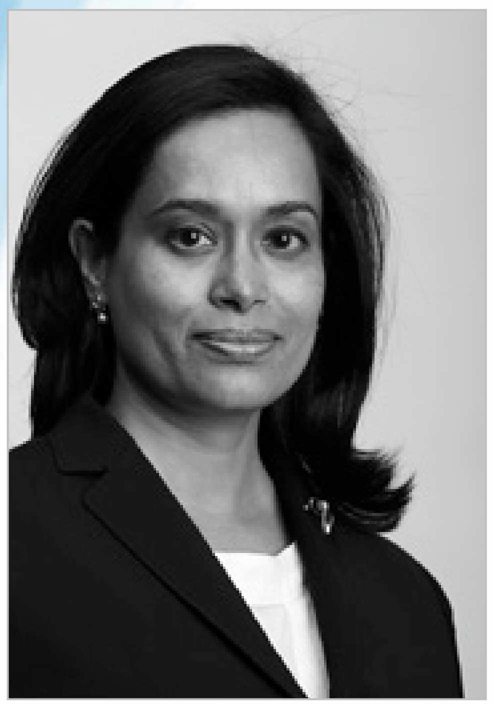
Kneeland Youngblood '99
Susquehanna Partners '00
Christopher J. Williams '01
J. Donald Rice, Jr. '02
Paul Viera '03
Louis Holland '04
Gerald B. Smith '05
David Baker Lewis '06
Eugene J. Duffy '07
Tina Byles Williams '08
Laurence O. Gray '09
Isaac H. Green '10
Quintin E. Primo, III '11
James Reynolds, Jr. '12
Suzanne Shank '13
JoAnn H. Price '14
Eric T. McKissack, CFA '15
Herndon Capital Management (HCM) '16
Samuel A. Ramirez, Sr. '17
Nili Gilbert, CFA, CAIA '18
Andre Rice '19

LIFETIME ACHIEVEMENT AWARD



Established in 2012, the Lifetime Achievement Award is presented to a member of NASP, who, during his or her lifetime, has made significant achievements and contributed richly to the financial services industry. The award honors one individual who has achieved financial success, who has uncompromised integrity and dignity, and who has a strong record of philanthropic benevolence. It recognizes a man or woman who has served as a pioneer for women and people of color, has advocated for their inclusion in all areas of the financial services industry, and has set a living example that influences others to strive for excellence in the industry.

Eddie Brown, Jr. '12
Arthur E. McClearin '14
Jorge Castro, CFA '15 (posthumously)
Denise L. Nappier '18



Runa Alam, Co-founder & CEO

Development Partners International (DPI)

Runa Alam is the co-founder and CEO of Development Partners International (DPI). DPI is a leading private equity firm investing throughout Africa, and manages $1.7 billion in three funds, African Development Partners I (ADP I), ADP II and ADP III. The ADP funds have won numerous awards and been commended both for delivering top returns to investors while delivering top levels of ES&G and impact work. DPI is a gender balanced firm and seeks to focus its impact work in three buckets: Gender Balance, Climate Change and Job Creation and Enhancement. The firm was chosen as the first "Gender Balance Showcase Fund" by the OECD country development institutions.

Runa has more than 35 years of private equity, emerging market management and investment banking experience. She has invested in Africa for 22 years. She has contributed to the development of private equity in Africa as a former Chair of AVCA (African Private Equity Association), Chair of the AVCA Sustainability Committee and Vice Chair and Africa Council Member of EMPEA (Emerging Markets Private Equity Association).

Ms. Alam sat on the advisory committees at Princeton and Yale Universities and was formerly an Advisory Council member of MiDA (Mobilizing Institutional Investors to Develop Africa's Infrastructure), NASP-USAID Investment Partnership and USAID's Private Sector Partners Committee.

Ms. Alam started her career on Wall Street working for Morgan Stanley and Merrill Lynch. She is a development economist and is a graduate of Princeton University and Harvard Business School. She is a Harry S. Truman Congressional Scholar.

Joseph Boateng

Mr. Boateng is the Chief Investment Officer at Casey Family Programs where he is responsible for managing the Foundation's $2.4 billion endowment. Before joining Casey, he was a member of the Johnson & Johnson Investment Committee, responsible for managing over $17 billion in employee benefit assets worldwide. He also spent 13 years at the Xerox Corporation where he was a key member of the Trust Investment Team that managed $10 billion in assets. In addition to his role at Casey, he is Chair of the Investment Advisory Committee for the Seattle City Employees' Retirement System, a member of The Seattle Foundation's Investment Committee, and a member of the CREF Board of Trustees and Board Member of Indianapolis-based Lumina Foundation. He also serves on the Advisory Councils of NASP Africa Institutional Investors and the African Private Equity and Venture Capital Association.

Mr. Boateng received his MBA degree from UCLA. He is an alumnus of the Saïd Business School at Oxford, a Certified Public Accountant, and a CFA Charterholder.

FEATURED SPEAKERS

Douglas J. Brown

Senior Vice President and Chief Investment Officer
Exelon Corporation

Douglas J. Brown joined Exelon Corporation as Senior Vice President and Chief Investment Officer in November 2009. As Chief Investment Officer, Doug leads a team of professionals responsible for managing all investment activities including pension funds, nuclear decommissioning trusts, defined contribution plans and other employee benefit trusts totaling $44 billion. He is a member of Exelon's Corporate Investment Committee and the Exelon Foundation Board of Directors. From 2013 through 2019, he was named Chief Investment Officer Magazine's Power 100 list of most influential asset owners.

Prior to joining Exelon, Doug was Chief Investment Officer at Chrysler LLC with responsibility for all asset management activities globally, including pension funds, defined contribution plans and other employee benefit trusts totaling $30 billion. His career with Chrysler and its affiliates began in 1983. He was advanced to positions of increasing responsibility, including Treasurer for DaimlerChrysler Canada and Director, Corporate Finance and Capital Markets for DaimlerChrysler Corporation.

Doug is a member of the Economic Club of Chicago and is Chairman of the Board of Directors of The Committee on Investment of Employee Benefit Assets (CIEBA) in Washington, DC. He also serves on the Board of the Goodman Theatre in Chicago. From 2013 to 2015, Doug served on the Board of the CFA Society of Chicago.

Doug was born in Paterson, New Jersey and holds a B.A. degree in economics from Albion College and an MBA from the University of Detroit Mercy.

FEATURED SPEAKERS

Colleen C. Davis

Treasurer
State of Delaware

Education: Indian River High School; BS Molecular Biology & Health Sciences Philadelphia College of Textiles & Science; MS in PA Studies Philadelphia University; Certified in Public Finance Pepperdine University; MS Health Systems Administration in progress;

Affiliations: MSRB Market Transparency Advisory Group Issuer Member, National Association of State Treasurers Vice-Chair of Banking and Cash Management Committee and Long Range Planning, State Debt Managers Network (SDMN), State Employee Benefits Committee (SEBC); Government Efficiency & Accountability Board (GEAR); Delaware Economic & Financial Advisory Council (DEFAC); Co-founder & VP Pivotal Healthcare Consulting

Congress of Neurological Surgeons (CNS), American Academy of Physician Assistants Leadership & Advocacy (AAPA); Delaware Academy of Physician Assistants (DAPA); Delaware Girls Soccer Hall of Fame; National Association of State Treasurers (NAST);

Formerly: Delaware Academy of Physician Assistants Treasurer; Guest Lecturer Arcadia University and Philadelphia University; Guest Lecturer Christiana Care Residency Program; Board Member & Translational Science Consultant Forge Life Science; Advisory Board Member QuiqMeds; Director of Operations 2.0 Healthcare; River Soccer Coach

FEATURED SPEAKERS

Rachael Eubanks

Treasurer
State of Michigan

Rachael Eubanks was appointed as Michigan's 47th State Treasurer by Governor Gretchen Whitmer in January of 2019. She is committed to bringing creativity, collaboration and commitment to identify and implement solutions to some of Michigan's greatest challenges. She does this while also building upon Treasury's culture of service to Michiganders, through continuous improvement and employee engagement, which are the foundation of Treasury's mission to provide fair and efficient financial services on behalf of taxpayers, governments, students and all Michiganders, for the long-term fiscal health and stability of our state.

In 2016 she was appointed to serve on the Michigan Public Service Commission (MPSC) as a utility regulatory commissioner. In that capacity she reviewed and voted on hundreds of orders that helped shape Michigan's energy future. Prior to that role, Treasurer Eubanks structured more than $25 billion in bond financings for public entities–primarily the state of Michigan, State Building Authority, Michigan Finance Authority and the Michigan Strategic Fund. Treasurer Eubanks also served as point of contact for credit rating agencies on state credit matters for 10 years. She also served on several national organizations, was elected Treasurer and President on the Board of Michigan Women in Finance, and currently serves on the National Association of State Treasurers' (NAST) State Debt Management Network and Legislative Committees and also is the Vice Chair of the NAST Financial Education & Empowerment Committee.

Michael Frerichs

Treasurer
State of Illinois

Michael Frerichs was first elected Illinois State Treasurer in November 4, 2014 and re-elected on November 6, 2018. In Illinois, the Treasurer is the state's Chief Investment and Banking Officer. Frerichs believes in providing individuals with financial tools so that they can invest in themselves. He does this by encouraging savings plans for college and trade school, increasing financial education among all ages, removing barriers to a secure retirement, and reuniting Illinois residents with their unclaimed property.

Since taking office, Treasurer Frerichs has made significant strides in the fight for consumers by making sure Illinois residents get what is owed to them through the Unclaimed Property Program. A record-breaking $239 million in forgotten cash and stock was returned to individuals, employers, and non-profits in Fiscal Year 2019.

Treasurer Frerichs launched the Illinois Achieving a Better Life Experience Program (ABLE), a savings and investment program that allows people with disabilities and their families to save and build financial wellness without risking federal benefits. In November 2018, Treasurer Frerichs launched Secure Choice, a retirement savings program that benefits 1.2 million private-sector workers in Illinois who don't have access to an employer-sponsored retirement plan.

Treasurer Frerichs was born and raised in Gifford, Illinois. He graduated Yale University and spent two years in Taiwan where he taught English to young students. In 2006, Frerichs was elected Illinois State Senator representing east-central Illinois. As chairman of the Higher Education Committee he championed efforts to make college more affordable. Frerichs currently serves on the Executive Committee of the National Association of State Treasurers, the National Association of State Auditors, Comptrollers, and Treasurers, the College Savings Plan Network, and the National Association of Unclaimed Property Administrators. He also serves as a trustee on the Illinois State Board of Investment.

FEATURED SPEAKERS

FEATURED SPEAKERS

Carla Harris

Vice Chairman, Managing Director
Morgan Stanley

Carla Harris is a Vice Chairman, Managing Director and Senior Client Advisor at Morgan Stanley. She is responsible for increasing client connectivity and penetration to enhance revenue generation across the firm. In her 30-year career, Ms. Harris has had extensive industry experiences in the technology, media, retail, telecommunications, transportation, industrial, and healthcare sectors. In August 2013, Carla Harris was appointed by President Barack Obama to chair the National Women's Business Council.

For more than a decade, Ms. Harris was a senior member of the equity syndicate desk and executed such transactions as initial public offerings for UPS, Martha Stewart Living Omnimedia, Ariba, Redback, the General Motors sub-IPO of Delphi Automotive, and the $3.2 Billion common stock transaction for Immunex Corporation. Ms. Harris was named to Fortune Magazine's list of "The 50 Most Powerful Black Executives in Corporate America", Fortune's Most Influential List, U. S. Bankers Top 25 Most Powerful Women in Finance (2009-2011), Black Enterprise's Top 75 Most Powerful Women in Business (2017) and "Top 75 African Americans on Wall Street". Essence Magazine's list of "The 50 Women Who are Shaping the World", Ebony's list of the Power 100 and "15 Corporate Women at the Top" and was named "Woman of the Year 2004" by the Harvard Black Men's Forum and in 2011 by the Yale Black Men's Forum.

Prior to joining Morgan Stanley, Carla received an MBA, Second Year Honors from Harvard Business School and an AB in economics from Harvard University, Magna Cum Laude. Carla has also received Honorary Doctorates of Laws, Humanities and Business from several top ranked Colleges and Universities. She is actively involved in her community and heartily believes that "we are blessed so that we can be a blessing to someone else."

Mellody Hobson

Co-CEO & President
Ariel Investments, LLC
Chicago, IL

Mellody Hobson is Co-CEO and President of Ariel Investments. Additionally, she serves as Chairman of the Board of Trustees of the Ariel Investment Trust—the company's publicly traded mutual funds. She is a nationally recognized voice on business diversity and financial literacy.

Her leadership has been invaluable to corporate boardrooms across the nation. Mellody currently serves as Vice Chair of the Board of Starbucks Corporation and a director of JPMorgan Chase. She previously served as Chairman of the Board of DreamWorks Animation and a long-standing board member of the Estée Lauder Companies.

Mellody is Chairman of After School Matters, a Chicago non-profit that provides teens with high-quality after school and summer programs. She is Vice Chair of World Business Chicago; co-chair of the Lucas Museum of Narrative Art; and a board member of the George Lucas Education Foundation, Bloomberg Philanthropies and the Los Angeles County Museum of Art (LACMA). Mellody is a member of the American Academy of Arts and Sciences, The Rockefeller Foundation Board of Trustees, and serves on the executive committee of the Investment Company Institute.

Mellody earned her AB from Princeton University's Woodrow Wilson School of International Relations and Public Policy. In 2015, Time Magazine named her one of the "100 Most Influential People" in the world.

Dr. Nat Irvin, II

W.M. Strickler Professor of Management Practice
Assistant Dean of Thought Leadership & Civic Engagement
University of Louisville

Futurist, professor, innovator, author, composer and former radio and television commentator, Nat Irvin, II, serves as Assistant Dean of Thought Leadership and Civic Engagement, The Woodrow M. Strickler Chair, Professor of Management Practice, University of Louisville, College of Business, where he teaches graduate courses in the future of management, leadership, and team dynamics.

Irvin has engaged the leadership and management teams of hundreds of organizations, including the National Black MBA Association and the Executive Leadership Council, (ELC) and Fortune 100 companies in strategic conversations focused on the significant social, political, economic, technological and environmental trends and events that will drive the mid-to-long term future. Irvin also serves as a musical consultant and the Chief Learning Officer for Janelle Monáe and the Wondaland Arts Society, a multi- Grammy nominated artistic group based in Los Angeles, Ca. and Atlanta, Ga.

Lori E. Lightfoot

Mayor
City of Chicago

Lori E. Lightfoot is the 56th Mayor of Chicago.

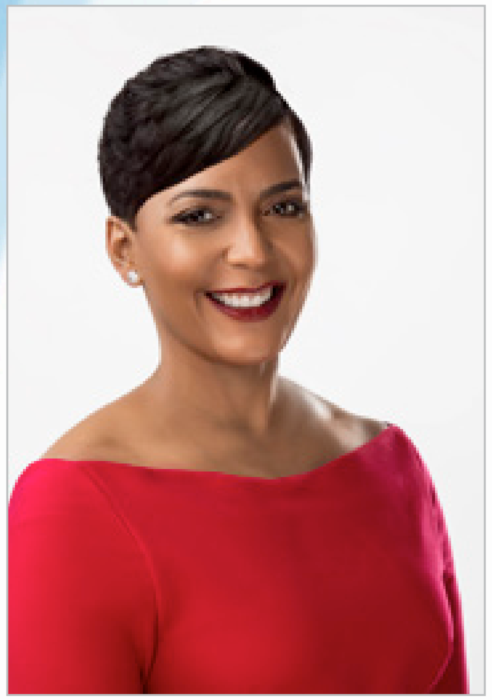
Since assuming office following her historic election, Mayor Lightfoot has undertaken an ambitious agenda of expanding opportunity and inclusive economic growth across Chicago's neighborhoods and communities, with early accomplishments including landmark ethics and good governance reforms and worker protection legislation, followed by key investments across education, public safety, financial stability, racial equity, and neighborhood investment.

In response to the unprecedented COVID-19 crisis, Mayor Lightfoot has led a coordinated, citywide response across government, business, and community organizations to effectively address its public health and economic impact through the creation of the Racial Equity Rapid Response Team, the COVID-19 Recovery Task Force, along with other actions.

Prior to her election, Mayor Lightfoot most recently served as a senior equity partner in the Litigation and Conflict Resolution Group at Mayer Brown. Previously, she served as President of the Chicago Police Board, as well as the Chair of the Police Accountability Task Force.

Mayor Lightfoot also served as Chief of Staff and General Counsel of the Chicago Office of Emergency Management and Communications, interim First Deputy of the Chicago Department of Procurement Services, Chief Administrator of the Office of Professional Standards, and as Assistant United States Attorney.

A native of Massillon, Ohio, Mayor Lightfoot has been a resident of Chicago since 1986 and lives on the Near Northwest Side with her wife Amy Eshleman and their daughter.



Honorable Keisha Lance Bottoms

Mayor
City of Atlanta

As the 60th Mayor of Atlanta, Keisha Lance Bottoms is a daughter of the city and committed to realizing her vision of One Atlanta – an affordable, resilient and equitable Atlanta – which stands as a model city for both commerce and compassion.

A lifelong public servant, Mayor Bottoms is the only Mayor in Atlanta's history to have served in all three branches of government, serving as a judge and City Councilmember before being sworn in as Mayor.

Leading with a progressive agenda focused on equity and affordable housing, Mayor Bottoms serves as Chair of the Community Development and Housing Committee and the Census Task Force for the United States Conference of Mayors.

Georgia Trend magazine named Mayor Bottoms the 2020 Georgian of the Year. Among Mayor Bottoms' notable accomplishments to date include the establishment of the City's first fully-staffed Office of Equity, Diversity and Inclusion, the appointments of a LGBTQ Affairs Coordinator and a Human Trafficking Fellow, the closure of the Atlanta City Detention Center to ICE detainees, and the rollout of the most far-reaching financial transparency platform in the City's history – Atlanta's Open Checkbook.

In 2020, the Lawyers' Committee for Civil Rights (LCCR) Under Law awarded Mayor Bottoms the Distinguished Civil Rights Advocate Award in recognition of her equity-driven leadership to help guide and protect marginalized communities.

A product of Atlanta Public Schools, Mayor Bottoms graduated from Frederick Douglass High School and received her undergraduate degree from Florida A&M University. She earned her Juris Doctorate from Georgia State University College of Law.

Dana M. Peterson

Economist / Director
Citi Research

Dana M. Peterson is a Global Economist with Citi Research. Her goal is to provide high value, accurate and timely analysis that informs Citi's top tier clients in their investment, risk, and business planning decisions.

Dana has specific responsibility for identifying, analyzing, and publishing on important global economic themes having direct financial market implications. Such themes include, monetary, fiscal and trade policy; debt; taxation; ESG; and demographics.

Dana's client base at Citi includes institutional and corporate officers, Private Bank clients, as well as public officials and central bank and finance department ministers.

Dana and her research have been featured by US and international news outlets in print and on television, including the CNBC, Bloomberg, Thomson-Reuters, WSJ, the Financial Times (FT), FBN, BNN, Globe and Mail, CBC, and National Post.

FEATURED SPEAKERS

FEATURED SPEAKERS

Ronald D. Peyton

CEO
Callan, LLC

Ron Peyton is executive chairman of Callan, where he provides firm-wide oversight to improve communications, process, service quality and retention. He regularly meets with clients, associates, and senior industry professionals and engages in industry and community events through speaking, writing, and service opportunities. Ron is a Callan shareholder, chairs the Callan Board of Directors, and co-chairs the Callan Inclusion Committee.

From 1981 to 1990, Ron served as president and chief operating office of Callan. He was then chief executive officer through 2017 when he successfully implemented the firm's succession plan, appointing Greg Allen as chief executive officer and others to senior management and board positions.

He was appointed to the Chief Diversity Officer Advisory Council, created in June 2020 by the Defined Contribution Institutional Investment Association (DCIIA). He is also a "counselor" for the Indiana University Kelley School of Business Dean's Council and an advocate for the Vista Center for the Blind and Visually Impaired, which Callan has supported for more than 20 years.

He served on the CFA Institute's Performance Presentation Standards Implementation Committee (now GIPS) and was chairman of the Institute's Asset Manager Code of Conduct Advisory Committee.

Prior to joining Callan in 1974, Ron worked with Marathon Oil Company's pension investments while serving as an officer in the U.S. Army Reserve.

Honorable Toni Preckwinkle

President
Cook County Board of Commissioners
Cook County, IL

Toni Preckwinkle is the 35th president of the Cook County Board of Commissioners, an office she has held since 2010. A dedicated and effective public servant, President Preckwinkle has worked tirelessly and collaboratively to reshape County government through increased fiscal responsibility, transparency and improved services.

As the top executive in Cook County, President Preckwinkle oversees one of the nation's largest public health and hospitals systems and one of the nation's largest criminal justice systems. President Preckwinkle is a lifelong advocate for equity and equality, and through her work as president, has fought to improve health care access, bring increased fairness to the criminal justice system and expand employment training opportunities for some of the County's most disadvantaged youth.

Leveraging more than 30 years of political experience and leadership, President Preckwinkle has restored credibility to County government, solving for more than $2.1 billion in budget deficits, cutting $851 million in expenditures and passing balanced budgets each year of her tenure.

President Preckwinkle is a nationally recognized leader in the drive to reduce unnecessary and costly incarceration of non-violent offenders in the criminal justice system.

Before she was elected Cook County Board President, President Preckwinkle served 19 years as Alderman of the 4th Ward, building a reputation for progressive independence.

Prior to holding elected office, President Preckwinkle taught high school history for 10 years.

FEATURED SPEAKERS

FEATURED SPEAKERS

Shawn Wooden

Treasurer
State of Connecticut

Shawn T. Wooden was sworn-in as Connecticut's 83rd State Treasurer on January 9, 2019, following a successful 21-year career as an investment attorney and service as City Council President of Hartford, Connecticut.

Born and raised in Hartford, Treasurer Wooden participated in a desegregation busing program and attended public school in the suburb of Hartford. After graduating with honors, he earned a four-year academic scholarship to Trinity College. He later attended New York University School of Law before moving back to his hometown of Hartford to begin his career at Day Pitney, LLP (formerly Day, Berry & Howard LLP), where he would go on to become a Partner and lead its public pension plan investment practice.

He has been recognized as a Connecticut Super Lawyer for Securities and Corporate Finance, Business/Corporate. Pensions and Investments named him one of the "25 Investment Professionals to Watch" and Savoy Magazine identified him as one of the most influential Black lawyers in the country.

Treasurer Wooden is the sole trustee of the $37 billion Connecticut Retirement Plans and Trust Funds, administers a $26 billion debt management program and manages over $25 billion in annual cash transactions.

He is the only Black elected State Treasurer in the country and the only Black elected official serving statewide in New England. He was also recently elected by his peers to serve as the Senior Vice President of the National Association of State Treasurers.

SAVE THE DATE

February 25, 2021

NASP National Association of Securities Professionals
AEMS The Africa & Emerging Markets Summit
MIDA Advisors

DIVERSE MANAGER FORUM

VIRTUAL EVENT

DAY 01 MON DEC 7

SCHEDULE OF EVENTS

THE NASP INSTITUTE (TNI)

**Trustees/Plan Sponsors/Investment Staff/Consultants ONLY (plus African trustees)*

When you complete these sessions, you should be able to:

- *Recognize and discuss key tenets of thematic, macro, factors based, and other types of investing*
- *Recognize portfolio strategies from the simple equity income to defensive equity to hedge funds and other more complex options*
- *List at least two differences among benchmarks for varying asset classes and regions and scoring and assessing ESG factors*
- *Identify and discuss various fixed income strategies including the differences between core and non-traditional strategies*

Qualifies for up to 4 CPE credit hours. Field of Study: Finance. No advanced preparation needed. Prerequisite: Must currently serve as Trustees or Staff to an Institutional Fund or Family Office Program. Program levels are intermediate and advanced and is presented Group Internet Based. For questions or additional information regarding refund, complaint, and/or program cancellation policies, please contact Marshay Hall at mhall@nasphq.org.

PFM is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: www.nasbaregistry.org.

NATIONAL REGISTRY OF CPE SPONSORS

9:00 AM - 9:30 AM
CENTRAL TIME

THE NASP INSTITUTE (TNI)

**Trustees/Plan Sponsors/Investment Staff/Consultants ONLY (plus African trustees)*

Primer for the Day: Primer for the Day: Governance, Fiduciary Responsibility and Trustee Concerns in a COVID Environment

This interactive session will help you prepare for your time with us for the NASP Institute. Get a refresher on understanding pension fiduciary and the importance of asset allocation. Understand the framework of key concepts to be discussed, and hear about best practices of setting policies, fiduciary responsibility and governance. In addition, the session will touch upon what trustees will be most concerned about in a COVID environment.

INSTRUCTOR:

Von Hughes, JD, MPP, CAIA, Head of Strategic Advisory, PAAMCO Prisma

9:30 AM -10:30 AM
CENTRAL TIME

THE NASP INSTITUTE (TNI)

**Trustees/Plan Sponsors/Investment Staff/Consultants ONLY (plus African trustees)*

Bond-nanza: A Review of Old and Burgeoning Fixed Income Sectors

With bond yields at historic lows in most of the developed world, many investors have been forced to rethink their fixed income allocations in order to meet their portfolio objectives. This prolonged market condition has put particular stress on traditional fixed income instruments that have historically played a critical role in institutional portfolios. In response, institutional investors are embracing alternative credit strategies that offer opportunities for higher rates of return relative to traditional fixed income. Those higher rates of return also come with added risks, as alternative credit strategies are typically less liquid, less regulated, and less transparent than their traditional counterparts. This session will seek to define the various segments within traditional and alternative credit, to highlight several key characteristics of these strategies, and to discuss real-time examples of the key risks and opportunities for institutional investors in today's market.

INSTRUCTORS:

Kristin Johnson Ceva, PhD, CFA, Managing Principal, Payden & Rygel

Kevin Dockrell, Institutional Portfolio Manager – Credit Strategies, RBC

Scott Baskind, CIO & Head of Global Private Credit, Invesco

DAY 01 MON DEC 7

DAY 01 MON DEC 7

SCHEDULE OF EVENTS

10:35 AM-11:35 AM
CENTRAL TIME

THE NASP INSTITUTE (TNI)

**Trustees/Plan Sponsors/Investment Staff/Consultants ONLY (plus African trustees)*

Portfolio Analytics: How "Good" is your Fund

A benchmark serves an essential role in investing and allows investors to measure the relative returns and volatility of their total plan, their composite portfolios, and their individual managers. Benchmarks should set an appropriate expectation for the magnitude by which an investment portfolio's return should vary and under what market conditions that variance could be positive, neutral, or negative. There are many criteria an investor should consider when selecting the best-fit benchmark and under certain circumstances, two benchmarks may be best. Additionally, there are increasing requirements to integrate ESG factors into the investment process of all strategies, which may influence the choice of a benchmark, and, for many asset owners, evaluating non-sustainable and sustainable strategies to traditional investment metrics has been a challenge. This session will explore the importance of setting expectations through proper benchmarking and the various ways in which asset owners can evaluate and monitor traditional and ESG strategies and will also discuss the role of investment goals and objectives to determine the appropriate ways to measure how "good" a fund is.

INSTRUCTORS:

Simone Andrews, ESG Credit Analyst, Bloomberg

Floyd Simpson, Senior Managing Consultant, PFM Group

11:40 AM - 12:40 PM
CENTRAL TIME

THE NASP INSTITUTE (TNI)

**Trustees/Plan Sponsors/Investment Staff/Consultants ONLY (plus African trustees)*

Defensive Strategies in a Volatile and Uncertain Marketplace

Whether faced with managing liquidity within an uncertain interest rate environment, minimizing the impact of a historic oil supply/demand curve imbalance, or navigating an economy crippling global pandemic, we were reminded in 2020 of the difficulty of protecting funding statuses in a volatile environment. Now faced with the first recession in more than a decade after years of bullish returns, investors must now revisit utilizing different portfolio strategies across multiple asset classes to both protect principal while still seeking a positive return. By revisiting traditional defensive portfolio strategies available within the equity markets and exploring more diverse, complex multi-asset investing solutions, attendees will gain insights in how different managers are working to minimize the impact of high volatility while providing stable returns. By incorporating discussions around commodities, sector themes, and the evolving role of emerging markets, investors will reexamine the various tools at their disposal to build a defensive portfolio for a long-term investment horizon.

INSTRUCTORS:

Rupal J. Bhansali, Chief Investment Officer and Portfolio Manager, International & Global Equities, Ariel Investments

Lowell Yura, Head of Multi-Asset Solutions, North America, T. Rowe Price

All Attendees are invited to attend the Institutional, Municipal Finance, Professional Development, Brokerage or AEMS Tracks

12:45 PM - 1:30 PM
CENTRAL TIME

AFRICA & EMERGING MARKETS SUMMIT (AEMS)

New Chapter in MiDA Advisors and NASP Engagement

This session will introduce the MiDA Advisory vision and roadmap. We will highlight the Industry Partners initiative and successes of the NASP-MiDA Partnership and increasing allocations to diverse and emerging asset managers.

MODERATOR:

Aymeric Saha, Managing Director, MiDA

PANELISTS:

Sundeep Raichura, CEO, Zamara Actuaries, Administrators, Consultants, Ltd.

Henry Jones, President, Board of Administration, CALPERS

Runa Alam, Co-Founder and Chief Executive Officer, Development Partners International

3:00 pm - 4:30 PM
CENTRAL TIME

WELCOME RECEPTION – OPEN TO ALL ATTENDEES!

DAY 01 MON DEC 7

NASP National Association of Securities Professionals AEMS The Africa & Emerging Markets Summit MIDA Advisors

DAY 02 TUES DEC 8

SCHEDULE OF EVENTS

All Attendees are invited to attend the Institutional, Municipal Finance, Professional Development, Brokerage or AEMS Tracks

8:00 AM - 9:00 AM
CENTRAL TIME

NASP MORNING PLENARY

"Monetizing" Diversity

The financial industry recognizes the value of diversity; furthermore, research has demonstrated that diversity increases productivity, increases creativity, increases profits, improves employee engagement, reduces employee turnover and improves company reputation. However, the number of ethnic minority firms in the financial industry have decreased notably in recent years. This panel will explore what the industry is doing (and can do) to improve the growth and enhance the success of ethnic minority firms and thereby "monetize" diversity.

MODERATOR:

Monica Bertran, Head of Corporate Communications Digital and Innovation, Bloomberg

PANELISTS:

Justin Dean, Boston Consulting Group

Carey Lathrop, Managing Director, Co-Head of Markets & Securities Services, Citi

Jasmine Richards, CFA, Senior Investment Director, Manager Diversity, Cambridge Associates

9:10 AM - 9:55 AM
CENTRAL TIME

PROFESSIONAL DEVELOPMENT SERIES (PDS) | CONCURRENT BREAKOUT SESSION:

Is the American Dream Dying - A Conversation About the US Economy and Rates

This session will explore the state of credit markets in the wake of Covid and the US elections Additionally this fireside chat will provide insight in the future of the American dream of owning your own home and its long term effects on the country 's GDP growth.

PANELISTS:

Troy Dixon, Managing Partner and Chief Investment Officer, Hollis Park Partners

Erik Hall, Executive Director, GCM Grosvenor

9:10 AM - 9:55 AM
CENTRAL TIME

INSTITUTIONAL | CONCURRENT BREAKOUT SESSION:

Differentiated Equity: Micro Cap to Mega Cap and Beyond

Long gone are the days of gaining equity exposure by simply investing in blue chip stocks. As investors move towards passive investing in equity, where are the opportunities for active management? This breakout session will explore how investors are gaining exposure to differentiated strategies in the public equity spectrum.

MODERATOR:

Will Forde, CFA, CAIA, Senior Consultant, NEPC, LLC

PANELISTS:

William Heard, Founder, CEO & CIO, Heard Capital

Laura Kernaghan, Senior Director of Investments, Chicago Community Trust

Peter Zaldivar, Principal & Senior Portfolio Manager, Kabouter Management

9:10 AM - 9:55 AM
CENTRAL TIME

AEMS | CONCURRENT BREAKOUT SESSION:

Prosper Africa: Unleashing US-Africa Trade and Investment

Established in 2018, Prosper Africa is an initiative that brings together the resources of over 15 U.S. Government agencies to connect U.S. and African businesses with new buyers, suppliers, and investment opportunities. In this panel discussion, executives from two of those agencies – USAID and the DFC – will discuss their independent and collaborative efforts to drive commerce and investment between the U.S. and the African continent.

MODERATOR:

Nadine Mentor, Founder and President, Mentor Holdings and Investments Inc.

PANELISTS:

Debra Erb, Managing Director of Housing Real Estate Project Finance,United States International Development Finance Corporation

Cameron Khosrowshahi, Investment Officer, Office of Private Capital and Microenterprise, United States Agency for International Development (USAID)

DAY 02 TUES DEC 8

DAY 02 TUES DEC 8

SCHEDULE OF EVENTS

9:10 AM - 9:55 AM
CENTRAL TIME

MUNICIPAL | CONCURRENT BREAKOUT SESSION:

State Treasurers' Roundtable

Several of the most prominent treasurers in the country will discuss some of the challenges and opportunities they are facing and their plans to manage them-- with finite resources. In addition, the treasurer's will discuss the important role diversity will have as they work to achieve the investment objectives of the public funds they manage and finance their capital improvement programs.

MODERATOR:

Guy Logan, Managing Director, Citi, Board Chair, NASP

PANELISTS:

Honorable Rachael Eubanks, Treasurer, State of Michigan

Honorable Bruno Fernandes, Treasurer, District of Columbia

Honorable Shawn Wooden, Treasurer, State of Connecticut

Honorable Colleen Davis, Treasurer, State of Delaware

9:10 AM - 9:55 AM
CENTRAL TIME

BROKERAGE | CONCURRENT BREAKOUT SESSION:

The Effects of COVID, Current Interest Rates and ESG on the Utility Sector

Panelists will discuss the effect of COVID, current interest rates on the utility sector. Given 2020's shifts in usage due to increased working from home and reduced travel, what does the future hold in this sector? As we head into 2021, are this year's shifts in the market the "new normal" or still a temporary event?

MODERATOR:

Samuel Ramirez, Jr. President & CEO, Ramirez Asset Management

PANELISTS:

Rejji Hayes, Executive Vice President & Chief Financial Officer, CMS Energy

Jon Haygood, Assistant Treasurer, Southern Company

9:10 AM - 9:55 AM
CENTRAL TIME

SPONSORED BY MORGAN STANLEY | CONCURRENT BREAKOUT SESSION:

Modern Wealth Management: A Career as a Financial Advisor

In today's complex and volatile markets, it is imperative that individuals have the information and advice necessary to make sound investment and financial decisions. Hear how Morgan Stanley financial advisors leverage the firm's comprehensive capabilities and specialized services to assist clients in creating strategies to plan for their future, protect their wealth, and secure their legacy. Learn what it takes to build a successful career in wealth management from this dynamic panel of accomplished financial advisors.

MODERATOR:

Justin Castleberry, First Vice President, Sub-Complex Manager, St. Paul, MN, Morgan Stanley

PANELISTS:

Gail Covington, Senior Vice President, Financial Advisor, San Francisco, CA, Morgan Stanley

Antonio Monk, Senior Vice President, Financial Advisor, Dallas, TX, Morgan Stanley

Jesse Walton, Jr., Senior Vice President, Financial Advisor, Atlanta, GA, Morgan Stanley

10:05 AM - 11:35 AM
CENTRAL TIME

Women's Forum / Pacesetters Award Presentation U.S. Congresswoman Joyce Beatty Remarks

This Forum will feature Black women working to make changes and expand opportunities in financial services for minority women. The forum will particularly focus on women in FinTech, global finance and finance entrepreneurship.

REMARKS:

U.S. Congresswoman Joyce Beatty, 3rd District of Ohio

KEYNOTE:

Carla Harris, Vice Chairman, Managing Director and Senior Client Advisor, Morgan Stanley

MODERATOR:

Kim Evans, Executive Vice-President, Alternative Fund Services, Northern Trust

PANELISTS:

Ingrid Jacobs, Vice President, Chief Diversity Officer, Eaton Vance

Robin Washington, Board of Directors, Alphabet

Tariye Gbadegesin, Managing Director & Chief Investment Officer, ARM-Harith Infrastructure Investment

DAY 02 TUES DEC 8

DAY 02 TUES DEC 8

SCHEDULE OF EVENTS

11:35 AM - 1:05 PM
CENTRAL TIME

NASP AFTERNOON PLENARY

Building a Dynamic Firm / Travers Bell Award Presentation

This session will discuss the operational, and due diligence challenges inherent in running your own firm and how to think strategically to overcome them. We will also discuss how working together with majority firms can yield additional dividends when it comes to entrepreneurial success.

Hosted by Boston Consulting Group

MODERATOR:

Kedra Newsom, Managing Director and Partner, Boston Consulting Group

PANELISTS:

Richard Turnley, Director of Institutional Sales & Marketing, Channing Capital

Sage Lincoln, Senior Research Director, Wells Fargo Investment Institute, Wells Fargo

1:30 PM - 2:15 PM
CENTRAL TIME

PROFESSIONAL DEVELOPMENT SERIES | CONCURRENT BREAKOUT SESSION:

The Growth of Artificial Intelligence and How Technology is Disrupting Your Bottom Line Positively and Negatively

Long are the days of Uber and AirBnB as the household names for AI. Today, Regulators, Entrepreneurs, and Funds use technology to gain a competitive advantage. The rise in venture capital and capital raising on a global basis to the use of technology to fast track access to data and deal sourcing is moving at lightning speed. While the cost of AI is often a consideration when addressing business bottlenecks, more and more market participants use AI and technology as a material variable to advancing their success. Ignoring the implementation of technology can cause an adverse effect.

MODERATOR:

Dale Favors, Managing Partner, Adaptive Growth Leadership

PANELISTS:

Ashley Evans, Principal, Technology, Media and Telecom, Carlyle Group

Jordan McKinnie, Equity Data Insights Analyst, T. Rowe Price

Lynne M. Kostakis, Chief Administrative Officer & Head of Strategy, Institutional Asset Management, Northern Trust

1:30 PM - 2:15 PM
CENTRAL TIME

AEMS | CONCURRENT BREAKOUT SESSION:

Smart Cities

By the year 2025 (in only 5 years) 45-50% of the population of Continental Africa will live in cities. There is a major urbanization trend that is taking place throughout continental Africa demanding a cohesive approach on how to adequately prepare to address this demographic tidal wave. Advanced thinkers on the subject believe that well planned advanced cities of the future (Smart Cities) will be required and that Africa will be a leading destination for these Smart Cities. Smart Cities create great opportunities for investments by providing a cohesive platform and master-planned approach to invest in major tenets of a Smart City: Energy, Technology, Food, Water, Infrastructure and healthcare. In fact, private equity and some hedge funds are organizing themselves to invest in these broad areas through a Smart City construct and a number of Smart City Funds are now being created to address these requirements. This is an eminently investable trend that will allow institutional investors to access the wave of Smart Cities that will be created over the next 25 years and in the process invest in the fastest growing continent in the world: Africa

MODERATOR:

Marlon Brown, CEO, Clarendon Partners

PANELISTS:

Ndidi Nwueli, Managing Partner, Sahel Consulting Agriculture and Nutrition, Ltd.

Sola Lawson, Managing Director & Co-Head, African Infrastructure Investment Managers

Danladi Verheijen, Managing Director, Verod Capital

DAY 02 TUES DEC 8

DAY 03 WED DEC 9

SCHEDULE OF EVENTS

All Attendees are invited to attend the Institutional, Municipal Finance, Professional Development, Brokerage or AEMS Tracks

8:00 AM - 8:45 AM
CENTRAL TIME

NASP MORNING PLENARY

Keynote Speaker: The Future of Capitalism

Dr. Nat Irvin, Assistant Dean of Thought Leadership and Civic Engagement, and Woodrow M. Strickler Chair, Professor of Management Practice, University of Louisville, College of Business

8:45 AM - 9:30 AM
CENTRAL TIME

NASP MORNING PLENARY

US Economic Outlook

SPEAKER:

Dana Peterson, Chief Economist, Conference Board

9:30 AM - 10:15 AM
CENTRAL TIME

The ESG Ecosystem: The Players, Policies, Stakeholders and Everything in Between

No matter what you name it, one thing is certain, capital allocators of all types and from all geographies are proactively exploring the optimal ways to approach Sustainable and Impact Investing. Some are incorporating ESG principles in their investment policies, investing directly in impact portfolios and seeking to better understand how their external managers are incorporating ESG factors into the investment decision-making process. This session will provide a holistic view of the sustainable and impact investing space and explore key questions including:

- *The ecosystem and approaches (i.e., negative screening, impact investing, thematic investing)*
- *Industry trends and myths about sustainable investing*
- *Market inefficiencies and the opportunity set, using ESG as a tool to identify risks, measuring impact*
- *How are DB and DC plans approaching sustainable investing?*
- *How will developments in the market continue to affect real assets such as commodities?*
- *What are ways in which corporate engagement and stewardship are evolving?*

MODERATOR:

Samantha Grant, CFA, CAIA, Marquette Associates

PANELISTS:

Jason M. Goins, CFA, Managing Director and Equity Research Analyst, Wellington Management Company LLP

Frances Barney, CFA, Managing Director, Head of Global Risk Solution, BNY Mellon

Bhakti Mirchandani, Director of Responsible Investing, Trinity Church Wall Street

10:25 AM - 11:10 AM
CENTRAL TIME

INSTITUTIONAL | CONCURRENT BREAKOUT SESSION:

Technology That Excites

Each year brings game-changing technology trends that shape how we live and how we do business – machine learning, artificial intelligence, cloud computing, and robotic automation, to name a few. This breakout session will explore burgeoning investment opportunities in technology for investors in public equity, private equity, and venture capital.

PANELISTS:

Rodrigo Garcia, Deputy Treasurer & Chief Investment Officer, State of Illinois

Khadir Richie, Principal, Richie Capital Group

Kelly Hardeman, Vice President, Insight Partners

Dr. Kola Olofinboba, Managing Partner, Fairview Capital

10:25 AM - 11:10 AM
CENTRAL TIME

BROKERAGE | CONCURRENT BREAKOUT SESSION:

Global Trading and How We Make It Work

Learn from Global Trading experts as they discuss the changes wrought by COVID-19 on hiring, workflows, trading dynamics and risk management at corporate firms. They will also discuss the effects on trading volume and market volatility. Find out the lessons learned, as well as the ongoing organizational repercussions of the pandemic and navigating the continuing challenges ahead.

MODERATOR:

Reggie Scantlebury, Managing Director, Stern Brothers

PANELISTS:

Stuart George, Division Director, Global Head of Equity Trading, Macquarie Investment Management

Paul Whitehead, Managing Director, BlackRock

DAY 03 WED DEC 9

DAY 03 WED DEC 9

SCHEDULE OF EVENTS

10:25 AM - 11:10 AM
CENTRAL TIME

MUNICIPAL | CONCURRENT BREAKOUT SESSION:

U.S. Mayors' Roundtable

Mayors throughout the U.S. will provide their insights on how COVID-19 has affected their cities, the pace of the economic recovery, and how social justice has influenced policy and legislative matters.

MODERATOR:

Tray Hairston, Attorney, Butler Snow, LLP

PANELISTS:

Honorable Stephen K. Benjamin, Mayor, City of Columbia, SC

Honorable Keisha Lance Bottoms, Mayor, City of Atlanta, GA

Honorable Sylvester Turner, Mayor, City of Houston, TX

10:25 AM - 11:10 AM
CENTRAL TIME

DEFINED CONTRIBUTION | CONCURRENT BREAKOUT SESSION:

Sponsored by Nationwide

Bringing More Diverse Candidates to Financial Services

The time is now to collaborate and discuss how we can recruit more Black students and professionals to work in financial services. We have brought together a distinguished panel of guests from the industry and academia to discuss what it will take to build the pipeline for more diversity in Financial Services.

MODERATOR:

Eric Stevenson, President, Workplace Solutions, Nationwide

OPENING REMARKS:

Kristi Rodriguez, Vice President, Nationwide Retirement Institute,

PANELISTS:

Mike James, President of Individual Solutions, NFP

Dr. Pamela Jolly, Chief Strategist, American College

Kayla Monroe, Data Measurement Consultant, MassMutual and Hampton University MBA Graduate

11:20 AM - 12:05 PM
CENTRAL TIME

INSTITUTIONAL | CONCURRENT BREAKOUT SESSION:

Let's Get Real: A Discussion on Real Assets

Over the past decade, institutional investors have been steadily increasing their exposures to real assets for a variety of reasons that include diversification, yield, and inflation hedging. These increased allocations are not just simply in the form of real estate. Infrastructure (equity and debt), natural resources, commodities, and agriculture (to name a few) are becoming more prevalent in portfolios. This breakout session will explore the challenges and opportunities of investing in real assets across the spectrum.

MODERATOR:

Avery Robinson, CAIA, Senior Vice President & Real Assets Co-Manager, Callan, LLC

PANELISTS:

Reynold Martin, Managing Principal, Infrastructure and Energy, Allstate

David Cibrian, Chief Executive Officer, Co-Chief Investment Officer, and Co-Founder, American Triple I Partners

Jenny Chan, Head of Portfolio & Strategy, MIP Funds, Managing Director, Macquarie North American Private Funds

11:20 AM - 12:05 PM
CENTRAL TIME

AEMS | CONCURRENT BREAKOUT SESSION:

Re-Imagining Business Opportunities in the Next Big Growth Market

Conversation with the Co-Authors of Africa's Business Revolution: How to Succeed in the World's Next Big Growth Market. As countries recover from the impact of the pandemic and rebuild, there will be a need to re-imagine viable business opportunities in emerging markets such as Africa. Global and Africa-based companies seeking to access new growth markets or pivot existing business strategies, will need to focus on opportunities that will leverage on Africa's young population, fast-growing and increasingly urbanized cities coupled with significant infrastructure gaps including social infrastructure and the challenges presented by the high twin deficits (fiscal and current). Scaling opportunities to drive attractive returns and broad reaching impact will require even more agility in frontier markets such as Africa. The panel provides the inside story on business in Africa and its future growth prospects and helps attendees understand and seize the opportunities for building profitable, sustainable enterprises on the African continent. The Panel will feature leading CEOs from key sectors including ICT, Manufacturing, Energy and top African Institutional investors.

DAY 03 WED DEC 9

NASP National Association of Securities Professionals | AEMS The Africa & Emerging Markets Summit | MIDA Advisors

DAY 03 WED DEC 9

SCHEDULE OF EVENTS

MODERATOR:

Tariye Gbadegesin, Managing Director & Chief Investment Officer, ARM-Harith Infrastructure Managers

PANELISTS:

Mitchell Elegbe, Chief Executive Officer, Interswitch

Sean Long, Chief Executive Officer, Denham Capital

Richard Okello, Co-Founding Partner, Sango Capital

Gagan Gupta, Managing Director & CEO, Infrastructure and Logistics, Olam

11:20 AM - 12:05 PM
CENTRAL TIME

MUNICIPAL | CONCURRENT BREAKOUT SESSION:

A Practitioners Perspective to Financing Transportation Infrastructure: Present and Future

This panel will facilitate a discussion with large transportation issuers to hear their approaches to funding large project or program of projects, describe what tools work well, where there are challenges, and where they see opportunities for the future.

MODERATOR:

Matt Bernstein, Director, Citi – Transportation Group

PANELISTS:

Horatio Porter, Chief Financial Officer, North Texas Tollway Authority

Erin Roseman, Chief Financial Officer, Transbay Joint Powers Authority

Dr. Shawn Wilson, Secretary, State of Louisiana Department of Transportation & Development

11:20 AM - 12:05 PM
CENTRAL TIME

BROKERAGE | CONCURRENT BREAKOUT SESSION:

Corporate Pensions

This chat with two of the major corporate pension fund allocators will be moderated by one of the most successful marketing and client service professionals. Get the “Straight Talk” about how you need to be prepared for partnership with corporate pension plans. Learn what corporate plans are looking for in their emerging managers and what their needs are, as well as how to position your firm for successful outcomes.

MODERATOR:

Obie McKenzie, Vice Chair, Cordiant Capital

PANELISTS:

Dekia Scott, Chief Investment Officer, Southern Company

Gbenga Oladeji, Managing Director, Johnson & Johnson

12:15 PM - 1:05 PM
CENTRAL TIME

NASP AFTERNOON PLENARY

CIO Panel

NASP’s annual Chief Investment Officer panel will get the perspectives of CIOs from various sectors about their responses to the pandemic, how they are advancing ongoing diversity initiatives, and how they are working with leadership to turn the calls for social and racial justice into a sustainable movement.

MODERATOR:

Shundrawn Thomas, President, Northern Trust Asset Management

PANELISTS:

Doug Brown, Chief Investment Officer, Exelon Corporation

Nickol Hackett, Chief Investment Officer, Joyce Foundation

Michael Frerichs, Treasurer, State of Illinois

1:05 PM - 2:05 PM
CENTRAL TIME

Joyce Johnson Recognition Fireside Chat

Mellody Hobson, President & co-CEO, Ariel Investments

Arlene Isaacs-Lowe, Global Head of Corp. Social Responsibility, Moody’s Foundation

2:15 PM - 3:45 PM
CENTRAL TIME

One on One Career Coaching + Corporate Sponsors Corner

DAY 03 WED DEC 9

DAY 04 THUR DEC 10

SCHEDULE OF EVENTS

All Attendees are invited to attend the Institutional, Municipal Finance, Professional Development, Brokerage or AEMS Tracks

9:00 AM - 10:00 AM
CENTRAL TIME

NASP MORNING PLENARY

Fireside Chat with Elected Officials

MODERATOR:

Senator James F. Clayborne, Jr., Partner, Clayborne and Wagner, LLP

PANELISTS:

Honorable Lori Lightfoot, Mayor, City of Chicago

Honorable Toni Preckwinkle, President, Cook County Board

10:10 AM - 11:10 AM
CENTRAL TIME

NASP MORNING PLENARY

Conference Roundtable

Is there a declining trend in diverse manager programs and support? Why? How can this be addressed? Audience interactive with NASP facilitator to lead the discussion.

FACILITATOR:

Dr. Nat Irvin, Assistant Dean of Thought Leadership and Civic Engagement, and Woodrow M. Strickler Chair, Professor of Management Practice, University of Louisville, College of Business

11:20 AM - 12:20 PM
CENTRAL TIME

NASP AFTERNOON PLENARY

Consultant CEO Roundtable / Maynard Jackson Jr. Award Presentation

MODERATOR:

Donna Sims Wilson, Chief Operating Officer, Kah Capital, Past-President, NASP Board

PANELISTS:

Ron Peyton, CEO, Callan, LLC

Samuel Austin III, Partner, NEPC, LLC

All Attendees are invited to attend the Institutional, Municipal Finance, Professional Development, Brokerage or AEMS Tracks

WED DEC 16

POST

CONFERENCE SESSIONS

9:00 AM - 9:45 AM
CENTRAL TIME

PROFESSIONAL DEVELOPMENT SERIES | CONCURRENT BREAKOUT SESSION:

The Changing Environment of LPs:

The sophistication of the investor community from family offices, public and private plans through corporations has resulted in a growth in direct investments coupled with a series of new demands for the public and private fund community. LPs have created robust in-house teams and a new set of criteria for overseeing their own portfolios and investment models. Today, new LP demands reach far beyond the fee structure and exit models. With direct investments and the risk of competing with the LP community for deal flow, how the GP community competes and continues to advance demands cutting edge approaches to accessing data, deal flow and even exploring more of a global reach.

MODERATOR:

Nanette Aguirre, Partner, Greenberg Traurig, LLP

PANELISTS:

Robert Greene, President & CEO, National Association of Investment Companies

Kirk Sims, Head of Emerging Manager Program, Texas Teachers' Retirement System

Kyle Marmelstein, Investment Director, Crewcial Partners

Tiffany McGhee, Partner, Chief Executive Officer and Co-CIO Institutional Investment Services, Momentum Advisors

WED DEC 16

POST

CONFERENCE SESSIONS

9:00 AM - 9:45 AM
CENTRAL TIME

INSTITUTIONAL | CONCURRENT BREAKOUT SESSION:

Getting to Know Our Neighbors: Foundations and Endowments

This breakout session will guide attendees through various allocation approaches of well-known foundations, endowments, and outsourced CIOs, providing insight into potential partnership opportunities.

MODERATOR:

Miguel Thames, Managing Partner, T.I.G. Advisory

PANELIST:

Caroline Greer, CIM, Managing Director, Commonfund

Sharcus Steen, CAIA, Director of Investments, University of Maryland Foundation

Matthew Wright, Founder and CIO, Disciplina

Reginald Sanders, CFA, CAIA, Director of Investments, Kellogg Foundation

9:00 AM - 9:45 AM
CENTRAL TIME

AEMS | CONCURRENT BREAKOUT SESSION:

Dispatches from Africa, The CIO's Travelogue

Candid conversation with Chief Investment Officers and Plan Sponsors who have traveled and invested in Africa.

MODERATOR:

Runa Alam, Chief Executive Officer, Development Partners International

PANELISTS:

Joseph Boateng, Chief Investment Officer, Casey Family Programs

Jarred Glansbeek, Chief Executive Officer, Riscura

Angela Miller-May, Chief Investment Officer, Chicago Teachers' Pension Fund

9:00 AM - 9:45 AM
CENTRAL TIME

MUNICIPAL | CONCURRENT BREAKOUT SESSION:

Benefits of a Diverse Investor Base

This panel will highlight what issuers can do to maximize the diversity of institutional investors in their bond issuances. Panelists will discuss best practices in conducting investor outreach. Specifically, how to successfully market to domestic and international investors during a pandemic.

MODERATOR:

Natasha Holiday, Managing Director, Municipal Markets, RBC

PANELISTS:

Marjorie Henning , Deputy Comptroller, New York City

Simone Santiago, Senior Municipal Bond Trader, Eaton Vance

Sonelius Kedrick-Brown, Head of Corporate Treasury & Taxable Fixed Income Solutions, DWS – Deutsche Bank

9:55 AM - 10:40 AM
CENTRAL TIME

Plenary: The Social of ESG: Investing in Diversity and Inclusion

2020 has seen strong calls for social, economic and racial change. Firms will need to keep top of mind the diversity and inclusion implications of these bids for change. How can ESG social goals be met while maintaining financial growth goals? What will ESG look like in 2021 and beyond as more investors look to invest in socially conscious ways?

MODERATOR:

Emily Lawrence, Senior Vice President, Director, Senior Specialist of Sustainable Investing, Northern Trust

PANELISTS:

John Hoeppner, Head of US Stewardship and Sustainable Investments, Legal and General Investment Management America

Perry Hollowell, CFA, CMT, CAIA, Portfolio Manager, Guggenheim

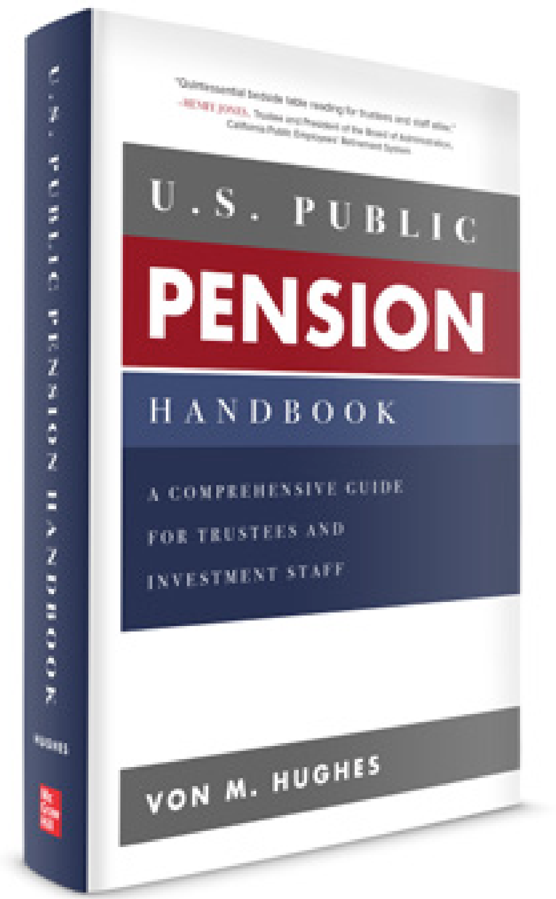
Caleb Tuten, Investment Analyst, Sustainable Investment Lead, Wells Fargo Investment Institute

WED DEC 16

POST

U.S. Public Pension Handbook

A Comprehensive Guide for Trustees and Investment Staff



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About the Author

Von M. Hughes is Partner and Managing Director, Global Head of Strategic Advisory & Client Acquisition at a $30+ billion asset management firm. Hughes works with some of the largest public pensions, private pensions, and other institutional investors in the U.S. and abroad. He is also a frequent speaker on topics of public pension plan policy, governance, and investment approaches, and has written articles for the Harvard Law Review and many other publications.

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